

Rigidity of Substitutive Tree Words

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work in progress with
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Séminaire de Combinatoire et d'Informatique Mathématique du LaCIM

Fibonacci



$$\mathbf{x} = 0100101001001010 \dots$$

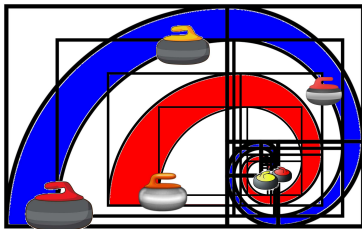
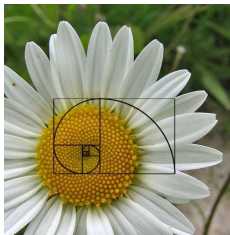
$$\mathbf{x} = \lim_{n \rightarrow \infty} \varphi^n(\mathbf{0}) \quad \text{where} \quad \varphi : \begin{cases} 0 \mapsto 01 \\ 1 \mapsto 0 \end{cases}$$

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Can we describe all morphisms σ such that $\sigma(\mathbf{x}) = \mathbf{x}$

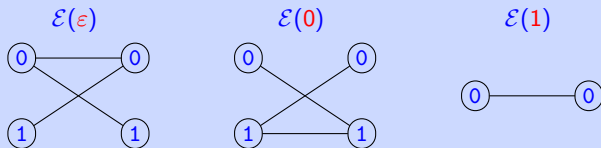


Tree sets

The *extension graph* of a word $w \in F$ is the undirected bipartite graph $\mathcal{E}(w)$ with vertices $L(w) \sqcup R(w)$ and edges $B(w)$, where

$$\begin{aligned}L(w) &= \{a \in A \mid aw \in F\}, \\R(w) &= \{a \in A \mid wa \in F\}, \\B(w) &= \{(a, b) \in A \mid awb \in F\}.\end{aligned}$$

Example (Fibonacci, $F = \{\varepsilon, 0, 1, 00, 01, 10, 001, 010, 100, 101, \dots\}$)



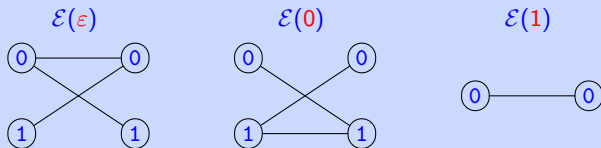
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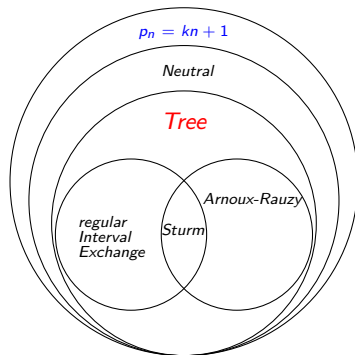
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A factorial set F is called a *tree set* if the graph $\mathcal{E}(w)$ is a tree for any $w \in F$.

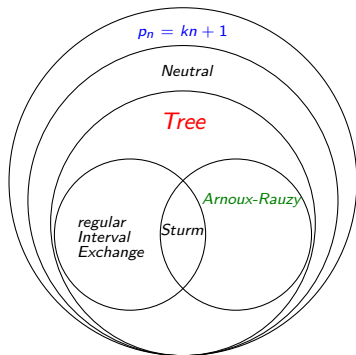
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Tree sets



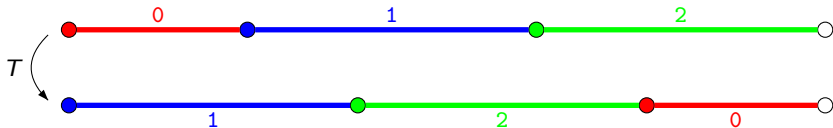
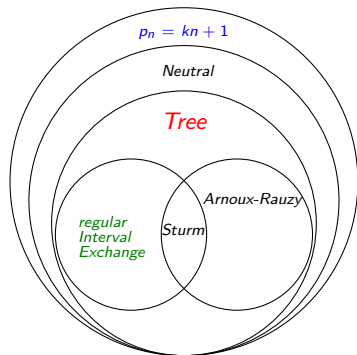
Tree sets



Definition

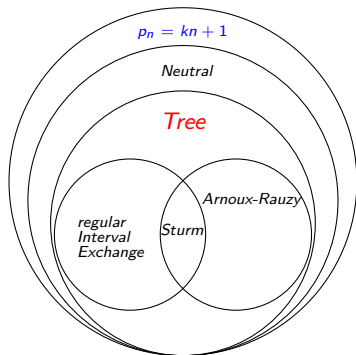
An *Arnoux-Rauzy* (or *strict episturmian*) set is a factorial set closed by reversal with $p_n = (\text{Card}(A) - 1)n + 1$ having a unique right special factor for each length.

Tree sets



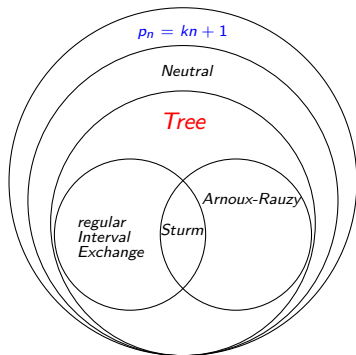
$$F = \{0, 1, 2, 02, 10, 11, 21, 22, 021, 022, 102, \dots\}$$

Tree sets



A *tree word* is an infinite word $x \in A^\omega$ such that its language $\mathcal{L}(x) \subset A^*$ is a tree set.

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- ▶ Sturmian words,
- ▶ Strict episturmian (Arnoux-Rauzy) words,
- ▶ Natural coding of regular Interval Exchanges,
- ▶ other quirky examples, . . .

Recurrence and uniformly recurrence

Definition

An infinite word x is *recurrent* if for every $u \in \mathcal{L}(x)$ there is a v such that uvu is in $\mathcal{L}(x)$.

It is *uniformly recurrent* if for every $u \in \mathcal{L}(x)$ there exists an $n \in \mathbb{N}$ such that u is a factor of every word of length n in $\mathcal{L}(x)$.

Proposition

Uniform recurrence \implies recurrence.

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Theorem [D., Perrin (2016)]

A recurrent tree word is uniformly recurrent.

Morphisms and substitutions

A (non-erasing) *morphism* $\sigma : A^* \rightarrow B^*$ is a map s.t. $\sigma(uv) = \sigma(u)\sigma(v)$ for all $u, v \in A^*$ (and $\sigma(u) \in B^+$ for all $u \in A^+$).

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A substitution is *primitive* if there exists a $k \in \mathbb{N}$ s.t. $b \in \mathcal{L}(\sigma^k(a))$ for all $a, b \in A$.

An infinite word of the form $\mathbf{x} = \sigma^\omega(a) = \lim_{n \rightarrow \infty} \sigma^n(a)$, with $a \in A$, is a *fixed point* of σ , that is $\sigma(\mathbf{x}) = \mathbf{x}$.

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Proposition

If σ is a primitive substitution, there exists a $k \in \mathbb{N}$ such that σ^k admits a fixed point.

Moreover, all fixed points of σ (or some power of it) have the same language, called the *language of σ* , and this is uniformly recurrent.

Substitutive words

An infinite word $\mathbf{y} \in B^\omega$ is *substitutive* if there exist a substitution σ over B and a morphism $\tau : A^* \rightarrow B^*$ such that

$$\mathbf{y} = \tau(\sigma^\omega(b))$$

with $b \in B$. It is said *substitutive primitive* whenever σ is primitive.

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- The word $\mathbf{x} = \tau(\varphi^\omega(0))$ is substitutive primitive.
 $= \tau(0100101001001010 \dots)$
 $= \alpha\beta\alpha\gamma\alpha\beta\alpha\alpha\beta\alpha\gamma\alpha\beta\alpha\gamma\alpha\beta\alpha \dots$

Invertible substitutions

Given an alphabet A , the *free group* \mathbb{F}_A is the set of all words over $A \cup A^{-1}$ which are *reduced* (i.e., $aa^{-1} \equiv a^{-1}a \equiv \varepsilon$ for every $a \in A$).

A substitution $\sigma : A^* \rightarrow A^*$ can be extended to a morphism of the free group by defining $\sigma(a^{-1}) = \sigma(a)^{-1}$.

Example

$$\begin{aligned} \varphi : \mathbb{F}_{\{0,1\}} &\rightarrow \mathbb{F}_{\{0,1\}} \\ 0 &\mapsto 01 \\ 1 &\mapsto 0 \\ 0^{-1} &\mapsto 1^{-1}0^{-1} \\ 1^{-1} &\mapsto 0^{-1} \end{aligned}$$

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A morphism $\sigma : A^* \rightarrow A^*$ is *invertible* if its extension $\sigma : \mathbb{F}_A \rightarrow \mathbb{F}_A$ is a (positive) automorphism, i.e., if there exists σ^{-1} such that $\sigma\sigma^{-1} = \sigma^{-1}\sigma = Id$.

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$$\varphi^{-1} : \mathbb{F}_{\{0,1\}} \rightarrow \mathbb{F}_{\{0,1\}}$$

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Tame substitutions

An automorphism σ is *positive* if $\sigma(a) \in A^+$ for every $a \in A$.

An automorphism is *elementary positive* if it is a permutation of A or of the form $\alpha_{a,b}$ or $\tilde{\alpha}_{a,b}$, with $a, b \in A$ and $a \neq b$, where

$$\alpha_{a,b} : \begin{cases} a \mapsto ab \\ c \mapsto c \end{cases} \quad \text{if } c \neq a \quad \text{and} \quad \tilde{\alpha}_{a,b} : \begin{cases} a \mapsto ba \\ c \mapsto c \end{cases} \quad \text{if } c \neq a$$

The set of elementary automorphisms is denoted \mathcal{S}_e .

A positive automorphism (resp. substitution) $\sigma \in \mathcal{S}_e^*$ is said to be *tame*.

Example

The set of elementary automorphisms over $A = \{0, 1\}$ is

$$\mathcal{S}_e = \{Id, \pi_{(01)}, \alpha_{0,1}, \alpha_{1,0}, \tilde{\alpha}_{0,1}, \tilde{\alpha}_{1,0}\}.$$

The substitution $\varphi = \pi_{(01)}\tilde{\alpha}_{0,1} : \begin{cases} 0 \mapsto 10 \mapsto 01 \\ 1 \mapsto 1 \mapsto 0 \end{cases}$ is tame.

Tame and invertible substitutions

tame substitutions \subset invertible substitutions

- Every permutations $\pi \in \mathfrak{S}_A$ is invertible.
- The inverses of

$$\alpha_{a,b} : \begin{cases} a \mapsto ab \\ c \mapsto c \end{cases} \quad \text{if } c \neq a \quad \text{and} \quad \tilde{\alpha}_{a,b} : \begin{cases} a \mapsto ba \\ c \mapsto c \end{cases} \quad \text{if } c \neq a$$

are respectively

$$\alpha_{a,b}^{-1} : \begin{cases} a \mapsto ab^{-1} \\ c \mapsto c \end{cases} \quad \text{if } c \neq a \quad \text{and} \quad \tilde{\alpha}_{a,b}^{-1} : \begin{cases} a \mapsto b^{-1}a \\ c \mapsto c \end{cases} \quad \text{if } c \neq a$$

Episturmian and epistandard morphisms

epistandard substitutions \subset episturmian substitutions \subset tame substitutions \subset invertible substitutions

The monoid of *episturmian* (or *Arnoux-Rauzy*) *substitutions* is generated by permutations of A and morphisms of the form ψ_a and $\tilde{\psi}_a$, with $a \in A$, where

$$\psi_a : \begin{cases} a \mapsto a \\ b \mapsto ab \end{cases} \quad \text{if } b \neq a \quad \text{and} \quad \tilde{\psi}_a : \begin{cases} a \mapsto a \\ b \mapsto ba \end{cases} \quad \text{if } b \neq a$$

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Example (Fibonacci and Tribonacci)

- The substitution $\varphi = \psi_0 \pi_{(01)} : \begin{cases} 0 \mapsto 1 \mapsto 01 \\ 1 \mapsto 0 \mapsto 0 \end{cases}$ is epistandard.
- The substitution $\eta = \psi_0 \pi_{(012)} : \begin{cases} 0 \mapsto 1 \mapsto 01 \\ 1 \mapsto 2 \mapsto 02 \\ 2 \mapsto 0 \mapsto 0 \end{cases}$ is epistandard.

Episturmian and epistandard morphisms

epistandard substitutions = episturmian substitutions = tame substitutions = invertible substitutions

$$A = \{0, 1\}$$

Theorem [Mignosi, Séebold (1993) ; Wen, Wen (1994)]

In the binary case (*Sturmian substitutions*) the four monoids coincide.

Proof. (of the first two inequalities)

- For every $a \in \{0, 1\}$, one has $\tilde{\psi}_a = \pi_{(0,1)} \psi_a \pi_{(0,1)}$.
- $\alpha_{0,1} = \pi_{(0,1)} \psi_0$, $\alpha_{1,0} = \pi_{(0,1)} \psi_1 \pi_{(0,1)}$, $\tilde{\alpha}_{0,1} = \psi_1$, $\tilde{\alpha}_{1,0} = \psi_0$.

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Corollary

The monoid of positive automorphisms over a binary alphabet is finitely generated.

Episturmian and epistandard morphisms

epistandard substitutions \subsetneq episturmian substitutions \subsetneq tame substitutions \subsetneq invertible substitutions

$\text{Card}(A) \geq 3$

Theorem [Wen, Zhang (1999) ; Richomme (2003)]

The monoid of invertible substitutions over a ternary alphabet is not finitely generated.

Fixed point of substitutions

Theorem

Every Sturmian substitution generates a Sturmian word.

Example

The substitution φ generates the *Fibonacci word*

$$\varphi^\omega(0) = 0100101001001010 \dots$$

which is Sturmian.

Fixed point of substitutions

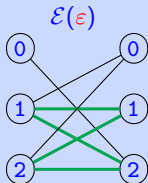
Theorem

Every Sturmian substitution generates a Sturmian word.

BUT not every tame substitution admits as a fixed point a tree word.

Example

$\xi = \alpha_{0,2} \alpha_{2,1} \alpha_{1,0} : \begin{cases} 0 \mapsto 02 \\ 1 \mapsto 102 \\ 2 \mapsto 21 \end{cases}$ is tame but its fixed point $\xi^\omega(0)$ is not a tree word.



QUESTION : Can we characterize among substitutive tree words the fixed points?

Stabilizer

The *stabilizer* of an infinite word $\mathbf{x} \in A^\omega$ is the submonoid of substitutions

$$\text{Stab}(\mathbf{x}) = \{\sigma : A^* \rightarrow A^* \mid \sigma(\mathbf{x}) = \mathbf{x}\}$$

A word \mathbf{x} such that $\text{Stab}(\mathbf{x})$ is cyclic is said to be *rigid*.

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Theorem [Séébold (1998)]

Words generated by Sturmian substitutions are rigid.

Example (Fibonacci)

The stabilizer of the Fibonacci word \mathbf{x} is $\text{Stab}(\mathbf{x}) = \{\varphi^i \mid i \in \mathbb{N}\}$.

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Theorem [Krieger (2008)]

Fixed points of strict epistandard morphisms are rigid.

Example (Tribonacci)

The stabilizer of the Tribonacci word $\mathbf{y} = \eta^\omega(0)$ is $\text{Stab}(\mathbf{y}) = \{\eta^i \mid i \in \mathbb{N}\}$.

Stabilizers of tree words

QUESTION : *Are tree words rigid?*

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ANSWER : *Dunno!*

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ANSWER : Dunno! But...

Theorem [Berthé, D., Durand, Leroy, Perrin (2018)]

Let x be a tree word and $\sigma, \tau \in \text{Stab}(x)$ primitive substitutions.
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Let \mathbf{x} be a **recurrent tree word**.

There exists a *primitive tame* substitution θ such that for any primitive $\sigma \in \text{Stab}(\mathbf{x})$, one can find a *positive tame automorphism* τ and integers $i, j \geq 1$ such that $\sigma^i = \tau\theta^j\tau^{-1}$.

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If x is a recurrent tree word, then any primitive $\sigma \in \text{Stab}(x)$ is invertible (and thus tame).

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QUESTION : Is any non-trivial element of $\text{Stab}(x)$ primitive when x is recurrent tree?

Return words

A *left return word* to w in an infinite word \mathbf{x} is a nonempty word u such that $uw \in \mathcal{L}(\mathbf{x})$ starts and ends with w but has no w as an internal factor. Formally,

$$\mathcal{R}(w) = \{u \in A^+ \mid uw \in \mathcal{L}(\mathbf{x}) \cap (wA^+ \setminus A^+wA^+)\}$$

Example (Fibonacci)

$$\mathcal{R}(0) = \{\underline{0}, \underline{01}\}$$

$$\mathbf{x} = 010\underline{0}101001\underline{0}01010010100100101001001 \dots$$

$$\mathcal{R}(00) = \{\underline{001}, \underline{00101}\}$$

$$\mathbf{x} = 0100101\underline{001}00101\underline{00101}00100101001001 \dots$$

Derived sequence

Let us decode with respect to the first letter of the infinite word.

Example

$z = 0110100110010110100101100110100110010110$

Derived sequence

Let us decode with respect to the first letter of the infinite word.

Example

$$\mathcal{R}(0) = \{011, 01, 0\} \longleftrightarrow \{0, 1, 2\}$$

$$z = 01101001100101110100101100110100110010110 \dots$$

$$\mathcal{D}(z) = 0120210121020120210201210120210121020121 \dots$$

Derived sequence

Let us decode with respect to the first letter of the infinite word.

Example

$$\mathcal{R}(0) = \{012, 021, 0121, 02\} \longleftrightarrow \{0, 1, 2, 3\}$$

$$z = 0110100110010110100101100110100110010110 \dots$$

$$\mathcal{D}(z) = \begin{array}{c} 0120210121020120210201210120210121020121 \dots \\ \hline \color{green}{01} \color{red}{20} \color{orange}{21} \color{green}{01} \color{red}{20} \color{orange}{21} \color{green}{01} \color{red}{20} \color{orange}{21} \color{green}{01} \color{red}{20} \color{orange}{21} \color{green}{01} \color{red}{20} \color{orange}{21} \dots \end{array}$$

$$\mathcal{D}^2(z) = 0123013201232013012301320130123201230132 \dots$$

Derived sequence

Let us decode with respect to the first letter of the infinite word.

Example

$$\begin{aligned} \mathbf{z} &= 0110100110010110100101100110100110010110 \dots \\ \mathcal{D}(\mathbf{z}) &= 0120210121020120210201210120210121020121 \dots \\ \mathcal{D}^2(\mathbf{z}) &= 0123013201232013012301320130123201230132 \dots \\ \mathcal{D}^3(\mathbf{z}) &= 0123013201232013012301320130123201230132 \dots \\ \mathcal{D}^4(\mathbf{z}) &= 0123013201232013012301320130123201230132 \dots \\ \dots & \quad \dots \end{aligned}$$

The sequence $(\mathcal{D}^n(\mathbf{z}))_{n \in \mathbb{N}}$ is called *derived sequence* of \mathbf{z} .

Derived sequence

Let us decode with respect to the first letter of the infinite word.

Example

$$\begin{aligned} \mathbf{z} &= 0110100110010110100101100110100110010110 \cdots \in \{0, 1\}^\infty \\ \mathcal{D}(\mathbf{z}) &= 0120210121020120210201210120210121020121 \cdots \in \{0, 1, 2\}^\infty \\ \mathcal{D}^2(\mathbf{z}) &= 0123013201232013012301320130123201230132 \cdots \in \{0, 1, 2, 3\}^\infty \\ \mathcal{D}^3(\mathbf{z}) &= 0123013201232013012301320130123201230132 \cdots \in \{0, 1, 2, 3\}^\infty \\ \mathcal{D}^4(\mathbf{z}) &= 0123013201232013012301320130123201230132 \cdots \in \{0, 1, 2, 3\}^\infty \\ \dots & \quad \dots \end{aligned}$$

The sequence $(\mathcal{D}^n(\mathbf{z}))_{n \in \mathbb{N}}$ is called *derived sequence* of \mathbf{z} .

REMARK : The alphabets are, in general, different.

Return Theorem

Theorem [Berthé, De Felice, D., Leroy, Perrin, Reutenauer, Rindone (2014)]

Let x be a recurrent tree word.

For any $w \in \mathcal{L}(x)$, the set $\mathcal{R}(w)$ is a basis of the free group \mathbb{F}_A .

Example (Fibonacci)

The set $\mathcal{R}(00) = \{001, 00101\}$ is a basis of the free group. Indeed,

$$0 = 001 (00101)^{-1} 001$$

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Corollary

For a recurrent tree word \mathbf{x} one has $\text{Card}(\mathcal{R}(w)) = \text{Card}(A)$ for any $w \in \mathcal{L}(\mathbf{x})$.

Thus all $\mathcal{D}^n(\mathbf{x})$ are in A^ω .

Number of derived sequence

Theorem [Durand (1998)]

A uniformly recurrent word $\mathbf{x} \in A^\omega$ is primitive substitutive if and only if the set of its derived sequences $\{\mathcal{D}^n(\mathbf{x}) \mid n \in \mathbb{N}\}$ is finite.

Example (Fibonacci)

$\text{Card}(\{\mathcal{D}^n(\mathbf{x})\}_{n \in \mathbb{N}}) = 1$, since

$$\begin{array}{l} \mathbf{x} = 0100101001001010010100100101001001 \dots \\ \mathcal{D}(\mathbf{x}) = 0100101001001010010100100101001001 \dots \end{array}$$

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Theorem [Klouda, Medková, Pelantová, Starosta (2018)]

Let \mathbf{x} be a fixed point of a Sturmian substitution $\sigma = \sigma_1\sigma_2 \cdots \sigma_q\pi$, with $\sigma_i \in (\mathcal{S}_e \setminus \mathcal{G}_A)^*$ and $\pi \in \mathcal{G}_A$ (decomposition in a *normal* form). Then

$$1 \leq \text{Card}(\{\mathcal{D}^n(\mathbf{x})\}_{n \in \mathbb{N}}) \leq 3q - 4.$$

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QUESTION : Can we bound $\text{Card}(\{\mathcal{D}^n(\mathbf{x})\}_{n \in \mathbb{N}})$ when \mathbf{x} is recurrent tree?

\mathcal{S} -adic representation

Let's conclude with some \mathcal{S} -adic notions...

Let \mathcal{S} be a set of morphisms. An infinite word \mathbf{x} is said \mathcal{S} -adic if

$$\mathbf{x} = \lim_{n \rightarrow \infty} \sigma_0 \sigma_1 \cdots \sigma_n(a_{n+1})$$

with $\sigma_n : A_{n+1}^* \rightarrow A_n^* \in \mathcal{S}$ and $a_n \in A_n$ for all $n \in \mathbb{N}$.

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An \mathcal{S} -adic representation $((\sigma_n)_n, (a_n)_n)$ of \mathbf{x} is

- *eventually periodic*, if there exist n_0, p s.t. $(\sigma_{m+p}, a_{m+p}) = (\sigma_m, a_m)$ for all $m \geq n_0$
- *primitive*, if for all m there exists k s.t. $A_m \subset \mathcal{L}(\sigma_m \sigma_{m+1} \cdots \sigma_k(a))$ for all $a \in A_{k+1}$.

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Theorem [Berthé, D., Durand, Leroy, Perrin (2018)]

A recurrent tree word is primitive substitutive if and only if it has an eventually periodic primitive \mathcal{S}_e -adic representation.

$$\mathcal{S}_e = \mathfrak{S}_A \sqcup \{\alpha_{a,b}\}_{a \neq b} \sqcup \{\tilde{\alpha}_{a,b}\}_{a \neq b}$$



Merci