

Two-sided Rauzy Induction

Francesco Dolce



RDMath IdF
Domaine d'Intérêt Majeur (DIM)
en Mathématiques

île de France

Leiden, 23th January 2014

Joint work with

V. Berthé¹, C. De Felice², D. Perrin³, C. Reutenauer⁴ and G. Rindone³

¹Université Paris Diderot, ²Università di Salerno, ³Université Paris Est, ⁴Université du Québec à Montreal

Outline

1. Interval exchange transformations

- Interval exchange transformations
- Regular interval exchange transformations

2. Rauzy induction

- Right Rauzy induction
- Two-sided Rauzy induction

3. Natural coding

- Natural coding
- Regular interval exchange sets
- Return theorem

Outline

1. Interval exchange transformations

- Interval exchange transformations
- Regular interval exchange transformations

2. Rauzy induction

- Right Rauzy induction
- Two-sided Rauzy induction

3. Natural coding

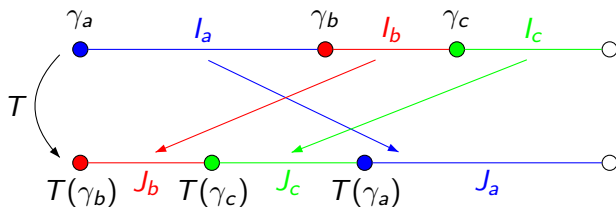
- Natural coding
- Regular interval exchange sets
- Return theorem

Interval exchange transformations

Let $(A, <)$ be an ordered set and let $(I_a)_{a \in A}$ be an ordered partition of $[l, r[$.

A *interval exchange transformation* is a function $T : [l, r[\rightarrow [l, r[$ defined by

$$T(z) = z + \alpha_z \quad \text{if } z \in I_a.$$



Regular interval exchange transformations

T is said to be *minimal* if for any $z \in]\ell, r[$ the orbit $\mathcal{O}(z) = \{T^n(z) \mid n \in \mathbb{Z}\}$ is dense in $]\ell, r[$.

Regular interval exchange transformations

T is said to be *minimal* if for any $z \in]\ell, r[$ the orbit $\mathcal{O}(z) = \{T^n(z) \mid n \in \mathbb{Z}\}$ is dense in $]\ell, r[$.

T is said *regular* if the orbits of the separation points $\neq \ell$ are infinite and disjoint.

Theorem [Keane, 1975]

A regular interval exchange transformation is minimal.

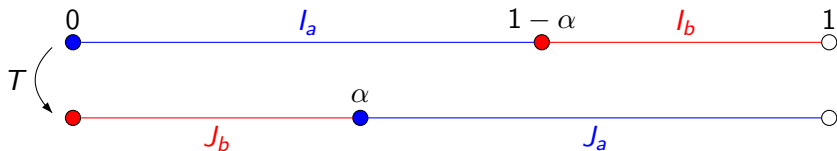
Regular interval exchange transformations

T is said to be *minimal* if for any $z \in]\ell, r[$ the orbit $\mathcal{O}(z) = \{T^n(z) \mid n \in \mathbb{Z}\}$ is dense in $]\ell, r[$.

T is said *regular* if the orbits of the separation points $\neq \ell$ are infinite and disjoint.

Theorem [Keane, 1975]

A regular interval exchange transformation is minimal.



Regular interval exchange transformations

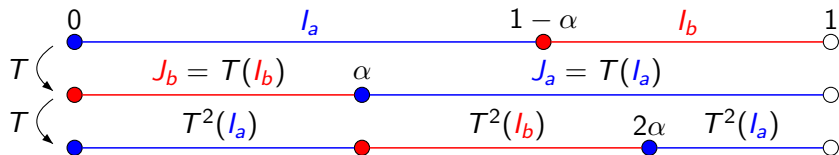
Proposition

Let T be a regular s -interval exchange transformation. Then T^n is a regular $n(s - 1) + 1$ -interval exchange transformation.

Regular interval exchange transformations

Proposition

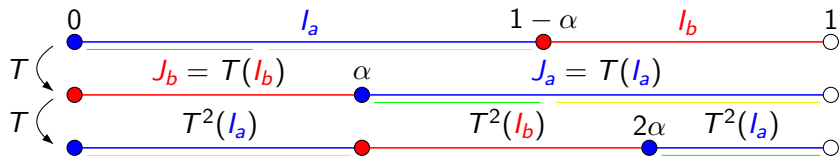
Let T be a regular s -interval exchange transformation. Then T^n is a regular $n(s-1)+1$ -interval exchange transformation.



Regular interval exchange transformations

Proposition

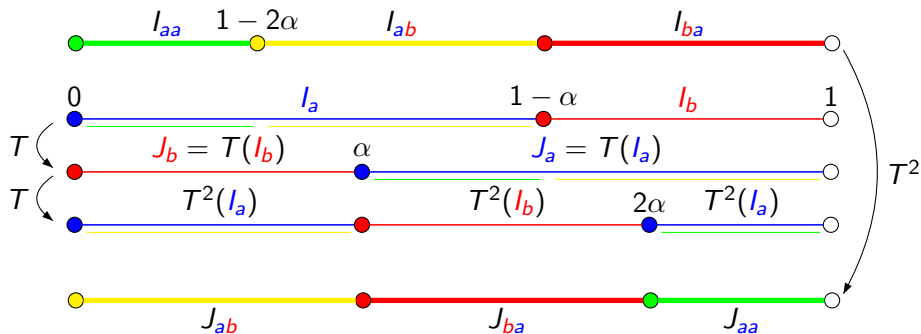
Let T be a regular s -interval exchange transformation. Then T^n is a regular $n(s-1)+1$ -interval exchange transformation.



Regular interval exchange transformations

Proposition

Let T be a regular s -interval exchange transformation. Then T^n is a regular $n(s-1)+1$ -interval exchange transformation.



$$J_{a_0 a_1 \dots a_{m-1}} = T^m(I_{a_0}) \cap T^{m-1}(I_{a_1}) \cap \dots \cap T(I_{a_{m-1}}) \quad \text{and} \quad I_w = T^{-|w|}(J_w)$$

Outline

1. Interval exchange transformations

- Interval exchange transformations
- Regular interval exchange transformations

2. Rauzy induction

- Right Rauzy induction
- Two-sided Rauzy induction

3. Natural coding

- Natural coding
- Regular interval exchange sets
- Return theorem

Admissible semi-intervals

Let T be an interval exchange transformation on the semi-interval $[\ell, r[$.

For $\ell < t < r$, the semi-interval $[\ell, t[$ is *right-admissible* for T if there is a $k \in \mathbb{Z}$ s.t. $t = T^k(\gamma_a)$ for some $a \in A$ and :

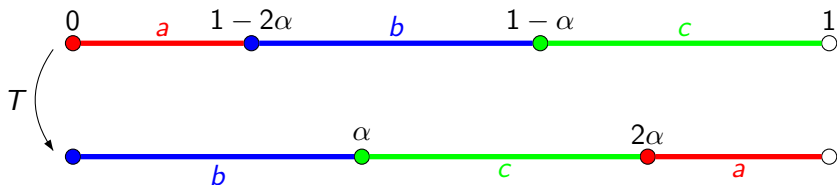
- (i) if $k > 0$, then $t < T^h(\gamma_a)$ for all $0 < h < k$,
- (ii) if $k \leq 0$, then $t < T^h(\gamma_a)$ for all $k < h \leq 0$,

Admissible semi-intervals

Let T be an interval exchange transformation on the semi-interval $[l, r[$.

For $l < t < r$, the semi-interval $[l, t[$ is *right-admissible* for T if there is a $k \in \mathbb{Z}$ s.t. $t = T^k(\gamma_a)$ for some $a \in A$ and :

- (i) if $k > 0$, then $t < T^h(\gamma_a)$ for all $0 < h < k$,
- (ii) if $k \leq 0$, then $t < T^h(\gamma_a)$ for all $k < h \leq 0$,

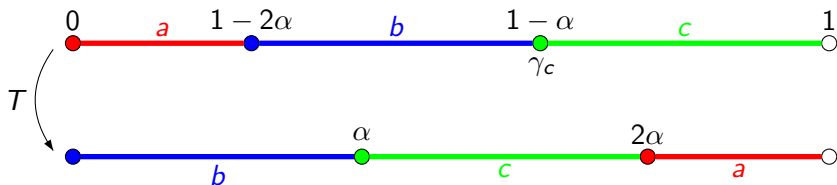


Admissible semi-intervals

Let T be an interval exchange transformation on the semi-interval $[l, r[$.

For $l < t < r$, the semi-interval $[l, t[$ is *right-admissible* for T if there is a $k \in \mathbb{Z}$ s.t. $t = T^k(\gamma_a)$ for some $a \in A$ and :

- (i) if $k > 0$, then $t < T^h(\gamma_a)$ for all $0 < h < k$,
- (ii) if $k \leq 0$, then $t < T^h(\gamma_a)$ for all $k < h \leq 0$,

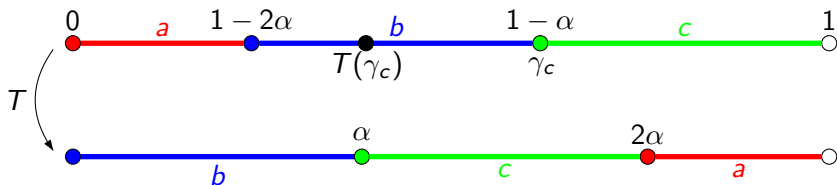


Admissible semi-intervals

Let T be an interval exchange transformation on the semi-interval $[l, r[$.

For $l < t < r$, the semi-interval $[l, t[$ is *right-admissible* for T if there is a $k \in \mathbb{Z}$ s.t. $t = T^k(\gamma_a)$ for some $a \in A$ and :

- (i) if $k > 0$, then $t < T^h(\gamma_a)$ for all $0 < h < k$,
- (ii) if $k \leq 0$, then $t < T^h(\gamma_a)$ for all $k < h \leq 0$,

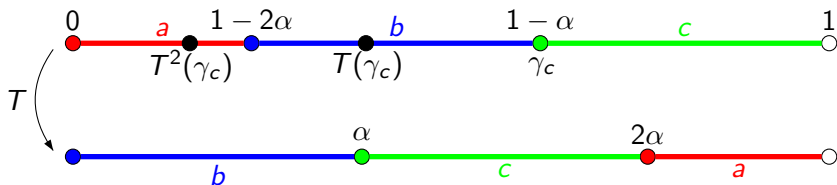


Admissible semi-intervals

Let T be an interval exchange transformation on the semi-interval $[\ell, r[$.

For $\ell < t < r$, the semi-interval $[\ell, t[$ is *right-admissible* for T if there is a $k \in \mathbb{Z}$ s.t. $t = T^k(\gamma_a)$ for some $a \in A$ and :

- (i) if $k > 0$, then $t < T^h(\gamma_a)$ for all $0 < h < k$,
- (ii) if $k \leq 0$, then $t < T^h(\gamma_a)$ for all $k < h \leq 0$,

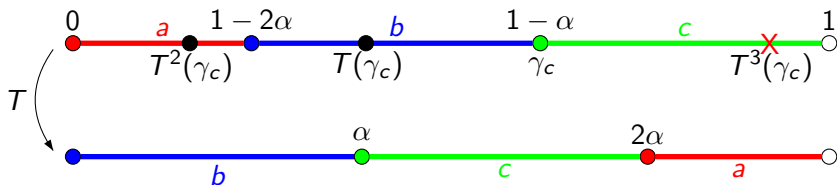


Admissible semi-intervals

Let T be an interval exchange transformation on the semi-interval $[l, r[$.

For $l < t < r$, the semi-interval $[l, t[$ is *right-admissible* for T if there is a $k \in \mathbb{Z}$ s.t. $t = T^k(\gamma_a)$ for some $a \in A$ and :

- (i) if $k > 0$, then $t < T^h(\gamma_a)$ for all $0 < h < k$,
- (ii) if $k \leq 0$, then $t < T^h(\gamma_a)$ for all $k < h \leq 0$,



Induced transformations

Let T be a minimal interval exchange transformation and $I \subset [\ell, r[$.
The *transformation induced* by T on I is the transformation $S : I \rightarrow I$ defined by

$$S(z) = T^n(z) \quad \text{with } n = \min\{k > 0 \mid T^k(z) \in I\}$$

The semi-interval I is called the *domain* of S , denoted $D(S)$.

Induced transformations

Let T be a minimal interval exchange transformation and $I \subset]\ell, r[$. The *transformation induced* by T on I is the transformation $S : I \rightarrow I$ defined by

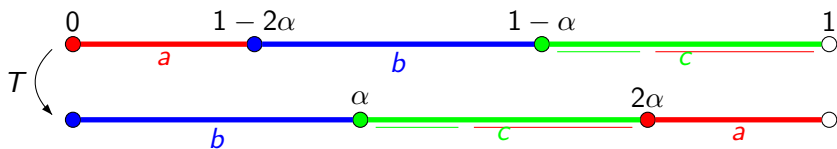
$$S(z) = T^n(z) \quad \text{with } n = \min\{k > 0 \mid T^k(z) \in I\}$$

The semi-interval I is called the *domain* of S , denoted $D(S)$.

Theorem [Rauzy, 1979]

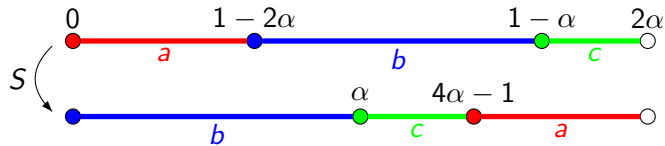
Let T be a regular interval exchange transformation and I a right-admissible interval for T . The induced transformation is a regular interval exchange transformation.

Induced transformations



$$I = [0, 2\alpha[$$

$$S(z) = \begin{cases} T^2(z) & \text{if } 0 \leq z < 1 - 2\alpha \\ T(z) & \text{otherwise} \end{cases}$$



Right Rauzy induction

Let T be a regular interval exchange transformation on $[\ell, r[$. Set

$$Z(T) = [\ell, \max_a \{\gamma_a, T(\gamma_a)\}].$$

We denote by $\psi(T)$ the transformation induced by T on $Z(T)$.

Right Rauzy induction

Let T be a regular interval exchange transformation on $[\ell, r[$. Set

$$Z(T) = [\ell, \max_a \{\gamma_a, T(\gamma_a)\}].$$

We denote by $\psi(T)$ the transformation induced by T on $Z(T)$.

Theorem [Rauzy, 1979]

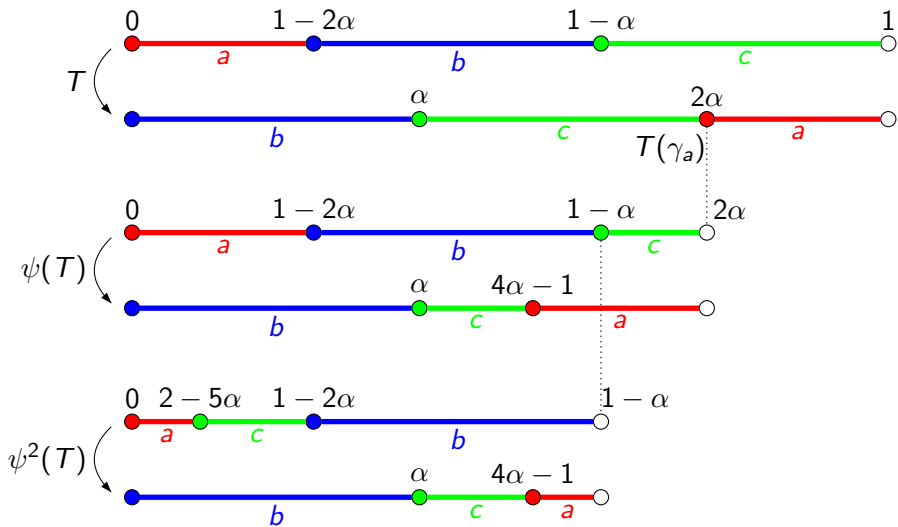
Let T be a regular interval exchange transformation.

A semi-interval I is right-admissible for $T \iff I = Z(\psi^n(T))$ for some $n > 0$.

In this case, the transformation induced by T on I is $\psi^{n+1}(T)$.

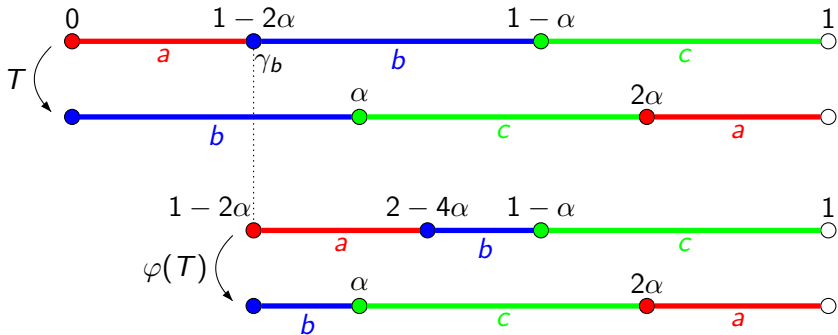
The map $T \rightarrow \psi(T)$ is called the *right Rauzy induction*.

Right Rauzy induction



Left Rauzy induction

The symmetrical notions of *left admissible semi-interval* and *left Rauzy induction*, denoted φ , are defined similarly.



Two-sided Rauzy induction

Let T be a regular interval exchange transformation. For $\ell \leq u < v \leq r$ we say that the semi-interval $I = [u, v[$ is *admissible* for T if $u, v \in \text{Div}(I, T) \cup r$ with

$$\text{Div}(I, T) = \bigcup_a \left\{ T^k(\gamma_a) \mid -\rho^-(\gamma_a) \leq k < \rho^+(\gamma_a) \right\}$$

$$\rho^-(z) = \min \{n > 0 \mid T^n(z) \in]u, v[\}, \quad \rho^+(z) = \min \{n \geq 0 \mid T^{-n}(z) \in]u, v[\}.$$

Two-sided Rauzy induction

Let T be a regular interval exchange transformation. For $\ell \leq u < v \leq r$ we say that the semi-interval $I = [u, v[$ is *admissible* for T if $u, v \in \text{Div}(I, T) \cup r$ with

$$\text{Div}(I, T) = \bigcup_a \left\{ T^k(\gamma_a) \mid -\rho^-(\gamma_a) \leq k < \rho^+(\gamma_a) \right\}$$

$$\rho^-(z) = \min \{n > 0 \mid T^n(z) \in]u, v[\}, \quad \rho^+(z) = \min \{n \geq 0 \mid T^{-n}(z) \in]u, v[\}.$$

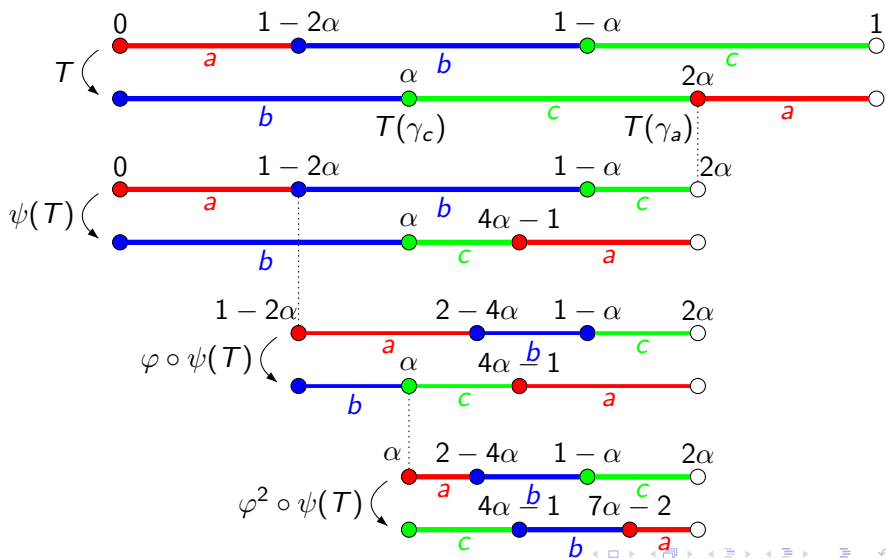
Theorem [BDDPRR (i.e. us), 2013]

The transformation induced by T on I is a regular interval exchange transformation.

Theorem [BDDPRR (i.e. us), 2013]

I is admissible for $T \iff$ if I is the domain of a $\chi \in \{\varphi, \psi\}^*$.
In this case, the transformation induced by T on I is $\chi(T)$.

Two-sided Rauzy induction



Outline

1. Interval exchange transformations

- Interval exchange transformations
- Regular interval exchange transformations

2. Rauzy induction

- Right Rauzy induction
- Two-sided Rauzy induction

3. Natural coding

- Natural coding
- Regular interval exchange sets
- Return theorem

Natural Coding

Let T be an interval exchange transformation relative to $(I_a)_{a \in A}$. The *natural coding* of T relative to $z \in]\ell, r[$ is the infinite word $\Sigma_T(z) = a_0 a_1 \cdots \in A^\omega$ defined by

$$a_n = a \quad \text{si } T^n(z) \in I_a.$$

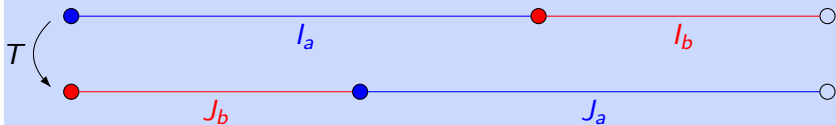
Natural Coding

Let T be an interval exchange transformation relative to $(I_a)_{a \in A}$. The *natural coding* of T relative to $z \in [l, r]$ is the infinite word $\Sigma_T(z) = a_0 a_1 \cdots \in A^\omega$ defined by

$$a_n = a \quad \text{si } T^n(z) \in I_a.$$

Example

The *Fibonacci word* is the natural coding of the rotation of angle $\alpha = (3 - \sqrt{5})/2$ relative to the point α , i.e. $T(z) = z + \alpha \pmod 1$.



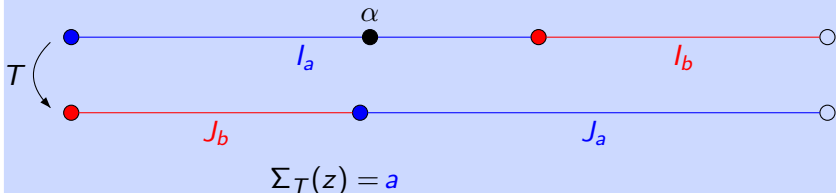
Natural Coding

Let T be an interval exchange transformation relative to $(I_a)_{a \in A}$. The *natural coding* of T relative to $z \in]\ell, r[$ is the infinite word $\Sigma_T(z) = a_0 a_1 \cdots \in A^\omega$ defined by

$$a_n = a \quad \text{si } T^n(z) \in I_a.$$

Example

The *Fibonacci word* is the natural coding of the rotation of angle $\alpha = (3 - \sqrt{5})/2$ relative to the point α , i.e. $T(z) = z + \alpha \pmod 1$.



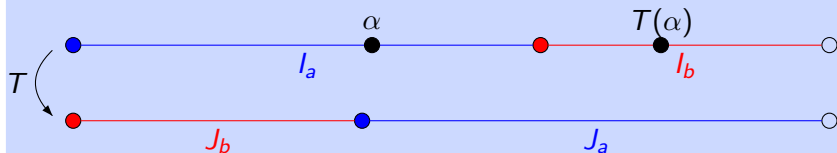
Natural Coding

Let T be an interval exchange transformation relative to $(I_a)_{a \in A}$. The *natural coding* of T relative to $z \in]\ell, r[$ is the infinite word $\Sigma_T(z) = a_0 a_1 \cdots \in A^\omega$ defined by

$$a_n = a \quad \text{si} \quad T^n(z) \in I_a.$$

Example

The *Fibonacci word* is the natural coding of the rotation of angle $\alpha = (3 - \sqrt{5})/2$ relative to the point α , i.e. $T(z) = z + \alpha \bmod 1$.



$$\Sigma_T(z) = ab$$

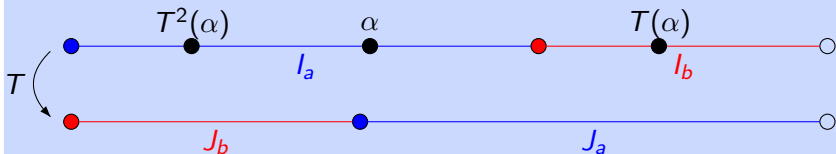
Natural Coding

Let T be an interval exchange transformation relative to $(I_a)_{a \in A}$. The *natural coding* of T relative to $z \in]\ell, r[$ is the infinite word $\Sigma_T(z) = a_0 a_1 \cdots \in A^\omega$ defined by

$$a_n = a \quad \text{si } T^n(z) \in I_a.$$

Example

The *Fibonacci word* is the natural coding of the rotation of angle $\alpha = (3 - \sqrt{5})/2$ relative to the point α , i.e. $T(z) = z + \alpha \pmod 1$.



$$\Sigma_T(z) = a b a$$

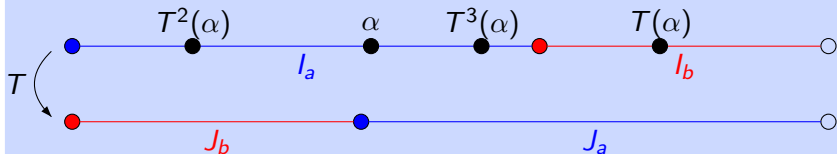
Natural Coding

Let T be an interval exchange transformation relative to $(I_a)_{a \in A}$. The *natural coding* of T relative to $z \in [\ell, r]$ is the infinite word $\Sigma_T(z) = a_0 a_1 \cdots \in A^\omega$ defined by

$$a_n = a \quad \text{si } T^n(z) \in I_a.$$

Example

The *Fibonacci word* is the natural coding of the rotation of angle $\alpha = (3 - \sqrt{5})/2$ relative to the point α , i.e. $T(z) = z + \alpha \bmod 1$.



$$\Sigma_T(z) = a b a a$$

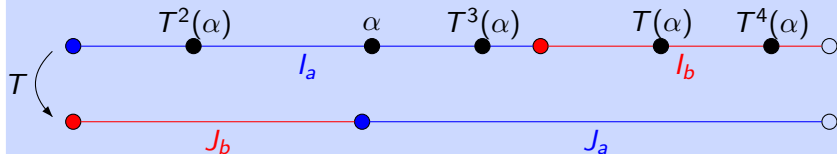
Natural Coding

Let T be an interval exchange transformation relative to $(I_a)_{a \in A}$. The *natural coding* of T relative to $z \in [\ell, r[$ is the infinite word $\Sigma_T(z) = a_0 a_1 \cdots \in A^\omega$ defined by

$$a_n = a \quad \text{si } T^n(z) \in I_a.$$

Example

The *Fibonacci word* is the natural coding of the rotation of angle $\alpha = (3 - \sqrt{5})/2$ relative to the point α , i.e. $T(z) = z + \alpha \bmod 1$.



$$\Sigma_T(z) = a b a a b$$

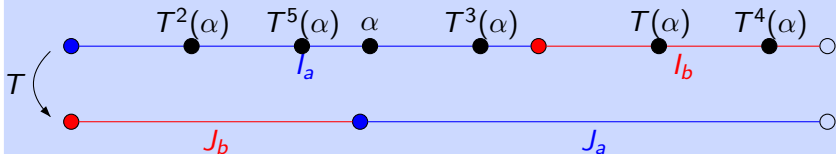
Natural Coding

Let T be an interval exchange transformation relative to $(I_a)_{a \in A}$. The *natural coding* of T relative to $z \in [l, r[$ is the infinite word $\Sigma_T(z) = a_0 a_1 \dots \in A^\omega$ defined by

$$a_n = a \quad \text{si } T^n(z) \in I_a.$$

Example

The *Fibonacci word* is the natural coding of the rotation of angle $\alpha = (3 - \sqrt{5})/2$ relative to the point α , i.e. $T(z) = z + \alpha \bmod 1$.



$$\Sigma_T(z) = a b a a b a \dots$$

Regular interval exchange sets

Proposition

If T is minimal, $\mathcal{L}(\Sigma_T(z))$ does not depend on z .

When T is regular (minimal), $\mathcal{L}(T) = \mathcal{L}(\Sigma_T(z))$ is said a *regular (minimal) interval exchange set* (linear complexity, neutrality, tree set¹, finite index basis, etc.).

1. See Valérie Berthé's talk next week.

Regular interval exchange sets

Proposition

If T is minimal, $\mathcal{L}(\Sigma_T(z))$ does not depend on z .

When T is regular (minimal), $\mathcal{L}(T) = \mathcal{L}(\Sigma_T(z))$ is said a *regular (minimal) interval exchange set* (linear complexity, neutrality, tree set¹, finite index basis, etc.).

Proposition

If T is minimal, $w \in \mathcal{L}(T) \iff J_w \neq 0$.

Proposition

If T is regular, J_w is admissible for every $w \in \mathcal{L}(T)$.

1. See Valérie Berthé's talk next week.

Return Theorem

The set of *right return words* to a word w (w.r.t. $\mathcal{L}(T)$) is

$$\Gamma_{\mathcal{L}(T)} = \{u \in \mathcal{L}(T) \mid wu \in A^+ \cap \mathcal{L}(T)\}$$

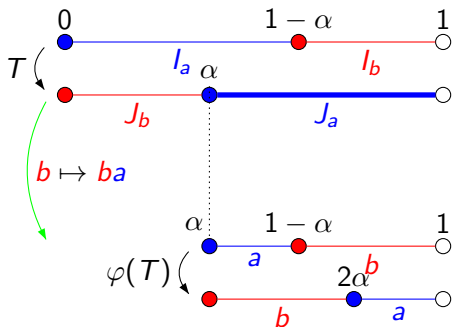
while the set of *first right return words* is

$$\mathcal{R}_{\mathcal{L}(T)} = \Gamma_{\mathcal{L}(T)} \setminus \Gamma_{\mathcal{L}(T)}A^+$$

Theorem [BDDPRR (i.e. *us*), 2013]

Let T be a regular interval exchange transformation on A . For any $w \in \mathcal{L}(T)$, the set of first right return words to w is a basis of the free group on A .

Return Theorem



The set of first right return words to a is

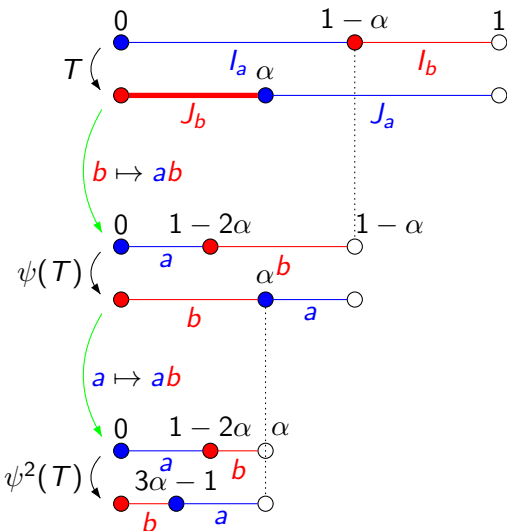
$$\mathcal{R}_{\mathcal{L}(T)}(a) = \{a, ba\}$$

$$x = \underline{a} \underline{ba} ababab \underline{a} \underline{ba} ababab \dots$$

And we can find it via the automorphism

$$\theta : \begin{cases} a & \mapsto a \\ b & \mapsto ba \end{cases}$$

Return Theorem



The set of first right return words to b is

$$\mathcal{R}_{\mathcal{L}(T)}(b) = \{aab, ab\}$$

$$x = ab\underline{aab}abaabaab\underline{ab}aab \dots$$

And we can find it via the automorphism

$$\theta : \begin{cases} a \mapsto ab \mapsto aab \\ b \mapsto b \mapsto ab \end{cases}$$

Conclusions

- Two-sided version of :
 - admissible semi-intervals,
 - Rauzy induction,
 - two Rauzy's theorems ;
- Regular interval exchange set ;
- Return theorem.

Questions

