



# The intriguing $\delta'$

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with grateful remembrance of a common work which inspired various further explorations

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## Some conferences are particular



It is a good habit to celebrate jubilees by discussing results related to the honoree work. In the present case



this opens a rather wide field because Yosi made a footprint in many areas. One is nevertheless tempted to choose a problem around which our paths crossed, especially if the subject proved inspirational and led to various other investigations.

# Where it started?



The root of the present story extend as far as to 1980 when A. Grossmann, R. Høegh-Krohn and M. Mebkhout investigated point interactions on line and introduced a counterpart to the usual  $\delta$  interaction which they called  $\delta'$ .

By this they meant operator  $-\Delta$  on  $L^2(\mathbb{R})$  defined on  $\psi \in H^2(\mathbb{R} \setminus \{0\})$  satisfying the conditions

$$\psi'(0+) = \psi'(0-) =: \psi'(0) \quad \text{and} \quad \psi(0+) - \psi(0-) = \beta\psi'(0)$$

for some  $\beta \in \mathbb{R}$ , and its generalizations to the many-center case.

The name they choose was not particularly fortunate but it stuck. Recall that while the  $\delta$  interaction can be obtained as a limit of *scaled potentials*, the  $\delta'$  is *not* the limit of scaled potentials of *zero mean* – cf. [Šeba'86, Zolotaryuk et al.'03].

# Why we should be excited?



It all looks like a simple example to illustrate self-adjoint extensions of second-order differential equations.

Recall, however, a quote from George Elliott used, in particular, as a motto in Reed-Simon II: *Any blockhead can cite generalities, but the mastermind discerns the particular cases they represent.*

The intriguing features of the interaction came first to attention when Yosi proposed to look at the  $\delta'$  version of the *Wannier-Stark model* combining singular periodic and linear potentials, i.e the system formally described by the Hamiltonian

$$H(\beta, F, a) = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \sum_{n \in \mathbb{Z}} \beta \delta'_{na} - eFx$$



We have the following surprising result:

Theorem (Avron-E-Last'94)

$\sigma_{ac}(H(\beta, F, a)) = \emptyset$  holds any non-zero  $F, \lambda$

- Proof sketch:* (a) The periodic operator,  $F = 0$ , has a band spectrum, in contrast to more regular potentials, it is *dominated by gaps* as  $k \rightarrow \infty$ .
- (b) A heuristic argument: the tilted gaps are *classically forbidden regions*; if their widths grow they may prevent indefinite propagation.
- (c) Make it rigorous by a *Simon-Spencer-type* argument. Inserting a sequence of Neumann conditions we get an operator with pure point spectrum; if the 'chops' are placed in the middle of the tilted gaps, one can check that the perturbation is *trace class*. □

# Robustness of the effect



The assumptions can be substantially weakened in two directions:

- the background potential need not be linear
- one may consider a lattice of non-identical  $\delta'$  interactions

It is sufficient consider the halfline problem only assuming that

(a) the linear potential is replaced by a locally bounded  $V$  satisfying  $V(x) = -U(x) + W(x)$  for  $x > x_0$  with some  $x_0 > 0$ , where  $U, V$  are such that

(a1)  $U$  is nondecreasing,  $\lim_{x \rightarrow \infty} U(x) = \infty$

(a2)  $U$  is  $C^2$  smooth with  $|U'(x)| \leq c$  and  $|U''(x)| \leq \tilde{c}U(x)$  for some  $c, \tilde{c} > 0$

(a3)  $W$  is piecewise continuous and bounded

Under these conditions the standard solutions between neighboring  $\delta'$ s are

$$\begin{pmatrix} u_n \\ v_n \end{pmatrix}(x) = \begin{pmatrix} \cos \\ \sin \end{pmatrix} k_n(x-n) (1 + \mathcal{O}(U(x)^{-1/2}))$$

## Robustness of the effect, continued



In addition, we suppose that the coupling constants  $\beta_n$  are such that

(b)  $|\beta_n| \geq \beta > 0$  for all  $n$

(c) there is a monotonic sequence  $\{n_\ell\} \subset \mathbb{Z}_+$  such that  $\operatorname{Re} k_{n_\ell} = \pi(n_\ell + \epsilon_\ell)$  with  $\epsilon_\ell \in (\frac{1}{4}, \frac{3}{4})$ , and  $\beta_{n_\ell} \beta_{n_\ell+1}^{-1}$  remains bounded as  $n_\ell \rightarrow \infty$

Using the same trace-class perturbation argument we can conclude:

### Theorem (E'95)

Under the assumptions (a)–(c) the ac spectrum of

$$H(\{\beta_n\}, V, a) = -\frac{d^2}{dx^2} + \sum_{n \in \mathbb{Z}_+} \beta_n \delta'_{na} + V(x)$$

is empty

# How does the spectrum look like?



*Character of the spectrum:* using a KAM-type argument one can prove cf. [Asch-Duclos-E'98] – that for all but a ‘small set’ of the parameters the spectrum of  $H(\beta, F, a)$  is *pure point*, and extend this result to the result to a class of nonlinear background potentials.

*Conjecture:* The same conclusion can be made for *all* parameter values

*Spectrum as a set* depends on parameter values, in particular, one is able to *conjecture* that the following relation holds,

$$\sigma_{\text{ess}}(H(\beta, F, a)) = \left\{ \frac{4}{\beta a} + \left(\frac{m\pi}{a}\right)^2 - F \left(n + \frac{1}{2}\right) a : m, n \in \mathbb{Z} \right\}$$

This would imply a dichotomy:

- if  $\gamma := \left(\frac{a}{\pi}\right)^2 Fa$  is rational, the spectrum is *nowhere dense*, and therefore automatically *pure point*.
- on the other hand,  $\sigma((H(\beta, E, a)) = \sigma_{\text{ess}}((H(\beta, E, a)) = \mathbb{R}$  holds if  $\gamma$  is irrational.



# A digression: the $\delta$ problem



- while the spectrum of a regular Wannier-Stark problem is purely ac and that of its  $\delta'$  version is purely singularly continuous, the borderline case remains open
- I mean the corresponding  $\delta$  problem, or the *Kronig-Penney model* amended with a linear potential, viz

$$H(\beta, F, a) = -\frac{d^2}{dx^2} + \sum_{n \in \mathbb{Z}} \alpha \delta_{na} - eFx$$

- the problem is open for a long time – *Yosi can witness*
- a heuristic argument suggest that the spectrum might exhibit a transition from (singularly?) continuous at small  $F$  to a point one for larger field values
- such a behavior is observed in the random case with probability one [Delyon-Simon-Souillard'85], however, the deterministic problem remains open

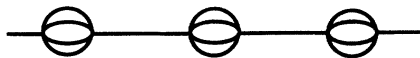
# What is then the $\delta'$ ?



Note that between  $\delta$  and  $\delta'$  there is a *duality* with respect to the interchange of the energy/momentum values,  $k \leftrightarrow k^{-1}$ .

As a result, in contrast to the 'usual' potentials, regular or singular, the  $\delta'$  becomes *opaque at high energies*, and need a mechanism which could produce this feature, at least in an *approximation*.

The first attempt we made in [Avron-E-Last'94] was *to replace points by 'onion' type graphs*,



Each 'onion' consists of  $N$  links of length  $L$ ; we consider the limit  $N \rightarrow \infty$  keeping the product  $NL = \beta$  fixed.

# 'Onion' graph limits



- Assuming 'Kirchhoff' conditions at the graph vertices, one easily finds the reflection amplitude of a single 'onion' to be

$$r(kL; N) = \frac{-N^2 + 1}{N^2 + 2iN \cot(kL) + 1}$$

- it oscillates as  $k \rightarrow \infty$  but in the described limit  $N \rightarrow \infty$  we get

$$r(kL; N) \rightarrow -\frac{1}{1 + 2i/\beta k}$$

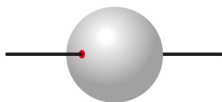
which is nothing else than the  $\delta'$  reflection amplitude

- hence the two halflines asymptotically decouple as  $k \rightarrow \infty$ , even if the decoupling is Dirichlet instead of Neumann appropriate for the  $\delta'$
- for an 'onion' string we get similarly the *band-gap structure* of the  $\delta'$  in the limit  $k \rightarrow \infty$

## Other geometric scatterers



As an example, consider a sphere with two leads attached

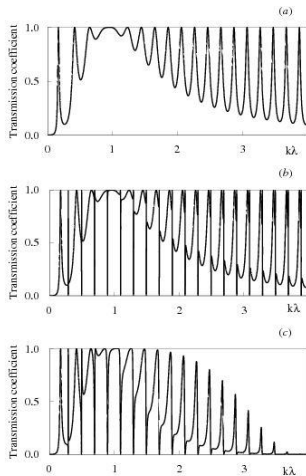


They are coupled through boundary conditions which involve boundary values on the halflines with the *generalized ones* on the sphere which are the coefficients in the expansion  $\Phi(\vec{x}) = L_0(\Phi) \ln |\vec{x}| + L_1(\Phi_2) + \mathcal{O}(|\vec{x}|)$

The system was investigated in [Kiselev'97, E-Tater-Vaněk'01, Brüning et al'02] showing, in particular, the following properties

- scattering *en gross* is not very sensitive to the choice of the coupling, it is *sensitive to relative junction positions*
- there are *numerous resonances* in such systems
- the *background reflection dominates* at high energies,  $k \rightarrow \infty$

# Transmission through the sphere



(a) Junctions at opposed poles, (b) tilt  $2^\circ$ , (c) tilt  $4^\circ$

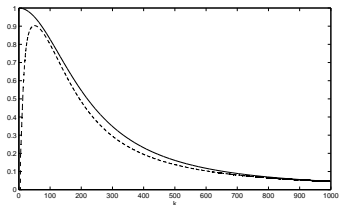
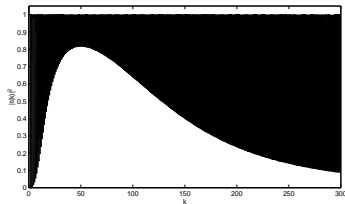
(reproduced from [Brüning-Geyler-Margulis-Pyataev'02])

## Further properties of the polar case



By the results of [Kiselev'97, E-Tater-Vaněk'01] we can also claim that

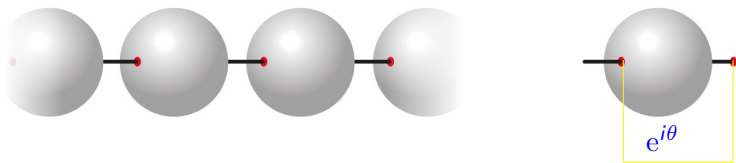
- transmission at resonance energies is *asymptotically perfect*
- the background scattering *asymptotically dominates* and the transmission probability there is  $\sim (E \ln E)^{-1}$  as the energy  $E \rightarrow \infty$
- **Conjecture:** The *coarse-grained* transmission probability decays as that of the  $\delta'$ , that is,  $\sim E^{-1}$
- The conjecture is supported to numerical results, for instance



# Arrays of geometric scatterers



One can also consider 'necklaces' of such geometric scatterers:



The band spectrum of such system can be found using standard Floquet analysis investigating the dependence of single cell eigenvalues on the *quasimomentum*  $\theta$

# Large gaps again



Denote by  $B_n$ ,  $G_n$  the  $n$ th band and gap of the sphere array, respectively; then we have the following claim:

## Theorem (Brüning-E-Geyler'03)

There is a  $c > 0$  such that  $\frac{|B_n|}{|G_n|} \leq c n^{-1/2}$  holds as  $n \rightarrow \infty$ .

*Remarks:* (a) The result is proven for a subset of admissible couplings but one can *conjecture* its validity generally.

(b) Similar result holds also for 'carpets' of scatterers with  $n^{-1/2}$  replaced by  $n^{-1/4}$ , or even for 'tight' systems where the spheres touch each other; there the gaps dominate *logarithmically* at high energies.

*Question:* Is the *ac* spectrum again absent if we add an electric field parallel to the array?



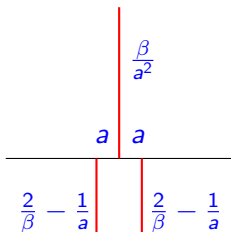
# Approximation by Schrödinger operators



Moral of the following story: *Mathematicians are sometimes wrong!*

For a decade every involved mathematician new that such an approximation does not exist — that is, without introducing velocity-dependent potentials or similar things.

Then a scheme appeared in [Cheon-Shigehara'98] which gave formally the  $\delta'$  conditions in the limit  $a \rightarrow 0$ , namely



# Approximation by Schrödinger operators, contd



In fact, the convergence of those operators, in standard notation notation [AGHH'05] written as  $-\Delta_{\mathcal{A}_a, \gamma_a}$  and  $\Xi_{\beta, \gamma}$  is by far not only formal:

- convergence of transfer (thus also scattering) matrices was proven in [Albeverio-Nizhnik'00]
- the norm-resolvent convergence,  $\|(-\Delta_{\mathcal{A}_{a(\epsilon)}, \gamma_{a(\epsilon)}} + \kappa^2)^{-1} - (\Xi_{\beta, \gamma} + \kappa^2)^{-1}\| \rightarrow 0$  as  $a \rightarrow 0$  was proven in [E-Neidhardt-Zagrebnov'01]
- One should note how subtle the convergence is: both resolvents are strongly divergent as  $a \rightarrow 0$ , but in the difference *the first four orders cancel* and the fifth gives a convergent result

Moreover, since  $\delta$  interaction is a limit of squeezed potentials, one can approximate the  $\delta'$  by *regular potentials*.

# Approximation by Schrödinger operators, contd



Consider operator  $H_{\epsilon,y}^a := -\Delta + W_{\epsilon,y}^a$  with the potential

$$W_{\epsilon,0}^a(x) = \frac{\beta}{\epsilon a(\epsilon)^2} V_0\left(\frac{x}{\epsilon}\right) + \left(\frac{2}{\beta} - \frac{1}{a(\epsilon)}\right) \left\{ \frac{1}{\epsilon} V_{-1}\left(\frac{x+a(\epsilon)}{\epsilon}\right) + \frac{1}{\epsilon} V_1\left(\frac{x-a(\epsilon)}{\epsilon}\right) \right\}$$

and let  $\|V_j\|_{L^1} = 1$  and  $\int_{-\infty}^{\infty} dx |x|^{1/2} |V_0(x)| < \infty$  hold for  $j = 0, \pm 1$ .

Moreover, assume that  $a(\epsilon) \rightarrow 0$  as  $\epsilon \rightarrow 0$  and  $\lim_{\epsilon \rightarrow 0} \frac{\epsilon}{a(\epsilon)^{12}} = 0$ .

Theorem (E-Neidhardt-Zagrebnov'01)

*Under the stated assumptions, one has*

$$\lim_{\epsilon \rightarrow 0} \left\| (H_{\epsilon,y}^a + \kappa^2)^{-1} - (\Xi_{\beta,y} + \kappa^2)^{-1} \right\| = 0.$$

*Remark:* The power 12 is for sure not optimal.

## Passing to higher dimensions



The  $\delta'$  is an essentially one-dimensional thing, however, one can obtain interesting models considering singular Schrödinger operators with a  $\delta'$  interaction supported by a manifold of *codimension one*.

Let us look at the radially periodic potentials in  $\mathbb{R}^\nu$ ,  $\nu \geq 2$ . If they are regular, the spectrum mixes by [Hempel-Herbst-Hinz-Kalf'91] absolutely continuous and dense pure point components. The same is true by [E-Fraas'07] for concentric  $\delta$  potentials.

One can treat similarly other point interactions, in particular, the  $\delta'$ . As long as the system has a radial symmetry, we can employ the partial-wave decomposition,  $H_\beta := \bigoplus_l U^{-1} H_{\beta,l} U \otimes I_l$ , where

$$H_{\beta,l} := -\frac{d^2}{dr^2} + \frac{1}{r^2} \left[ \frac{(\nu-1)(\nu-3)}{4} + l(l+\nu-2) \right]$$

defined on functions which are locally  $H^2$  and satisfy the  $\delta'$  conditions *with the same coupling constant  $\beta$*  at the radii  $r_n$ ,  $n = 1, 2, \dots$  with  $r_{n+1} - r_n = d > 0$  (an extra condition at the origin needed if  $\nu \leq 3$ ).

# Comparison to the $\delta'$ KP model



The radial motion can be naturally compared to the one described by

$$h_\beta := -\frac{d^2}{dx^2} + \sum_{n \in \mathbb{Z}} \beta \delta'_{x_n}$$

on  $L^2(\mathbb{R})$  with the  $\delta'$  interactions at the points  $x_n = d(n + \frac{1}{2})$ .

In particular, one has  $\sigma_{\text{ess}}(H_{\beta,l}) = \sigma_{\text{ess}}(h_\beta)$  which yields

$$\sigma_{\text{ess}}(H_\beta) = [\inf \sigma_{\text{ess}}(h_\beta), \infty)$$

through a minimax estimate and construction of suitable Weyl sequences; the idea is that choosing an interval far from the origin, we get an almost constant background from the centrifugal term.

*Remark:* If  $\nu = 2$  the operator  $H_\beta$  has infinitely many eigenvalues below  $\inf \sigma_{\text{ess}}(H_\beta)$  – they are analogous to the '*Welsh eigenvalues*' discussed in [Brown et al'98].



## Theorem (E-Fraas'08)

- (a) For any gap  $(E_{2k-1}, E_{2k})$  in the essential spectrum of  $h_\beta$  we have
- (i)  $H_\beta$  has no continuous spectrum in  $(E_{2k-1}, E_{2k})$ ,
  - (ii) eigenvalues of  $H_\beta$  are dense in  $(E_{2k-1}, E_{2k})$ .
- (b) On every compact  $K$  with the support in the interior of the band of  $h_\beta$  the spectrum of  $H_\beta$  is purely absolutely continuous.

*Proof sketch:* By the partial-wave decomposition there is no continuous spectrum in the gaps of  $h_\beta$ , at the same time they belong to  $\sigma_{\text{ess}}(H_\beta)$ . The absolute continuity on  $K$  is obtained by a subordinacy argument.  $\square$

*Remark:* While the spectrum consists of interlaced intervals of ac and dense p.p. spectrum, in contrast to more regular potentials including  $\delta$ , for  $\delta'$  the *dense point component dominates at high energies*.

# Strong coupling behavior



So far we have seen that a sub-manifold supported  $\delta'$  potentials can lead to spectral properties different from its more regular counterparts.

Now we are going to discuss an effect where the difference does not show — the reason is that from the  $\delta'$  point of view we will deal with the lower part of the spectrum.

For simplicity we consider operators in  $L^2(\mathbb{R}^2)$  with the interaction support being a smooth closed curve  $\Gamma$ , being graph of a function  $\Gamma : [0, L] \rightarrow \mathbb{R}^2$ .

The operator acts as Laplacian outside the interaction support,

$$(H_{\beta, \Gamma} \psi)(x) = -(\Delta \psi)(x)$$

for  $x \in \mathbb{R}^2 \setminus \Gamma$ , with the domain  $\mathcal{D}(H_{\beta, \Gamma}) = \{\psi \in H^2(\mathbb{R}^2 \setminus \Gamma) \mid \partial_{n_\Gamma} \psi(x) = \partial_{-n_\Gamma} \psi(x) =: \psi'(x)|_\Gamma, \beta \psi'(x)|_\Gamma = \psi(x)|_{\partial_+ \Gamma} - \psi(x)|_{\partial_- \Gamma}\}$ , where  $n_\Gamma$  is the outer normal to  $\Gamma$  and  $\psi(x)|_{\partial_\pm \Gamma}$  are the appropriate traces.

## Strong coupling behavior, continued



Alternatively, the singular Schrödinger operator  $H_{\beta,\Gamma}$  can be defined through its quadratic form. We introduce locally orthogonal coordinates  $(s, u)$  in the vicinity of  $\Gamma$  —  $s$  is the arc length of  $\Gamma$  and  $u$  the distance from the curve — and set

$$h_{\beta,\Gamma}[\psi] = \|\nabla\psi\|^2 + \beta^{-1} \int_{\Gamma} |\psi(s, 0_+) - \psi(s, 0_-)|^2 ds$$

defined on functions  $\psi \in C(\mathbb{R}^2) \cap H^1(\mathbb{R}^2 \setminus \Gamma)$  written, with an abuse of notation, also as  $\psi(s, u)$ .

We are interested in the asymptotic behavior of  $\sigma(H_{\beta,\Gamma})$  for *strong  $\delta'$  interaction*; recall that it corresponds to the limit  $\beta \rightarrow 0_-$ .

For this purpose we introduce a comparison operator

$$S := -\frac{d^2}{ds^2} - \frac{1}{4}\gamma(s)^2$$

on  $L^2(0, L)$  with periodic boundary conditions, where  $\gamma(s)$  denotes the *signed curvature* of  $\Gamma$  at the point  $s$ .



# Strong coupling on a $\delta'$ loop



## Theorem (E-Jex'13)

Let  $\Gamma$  be a  $C^4$ -smooth closed curve without self-intersections. Then  $\sigma_{\text{ess}}(H_{\beta,\Gamma}) = [0, \infty)$  and to any  $n \in \mathbb{N}$  there is a  $\beta_n > 0$  such that  $\#\sigma_{\text{disc}}(H_{\beta,\Gamma}) \geq n$  holds for  $\beta \in (0, \beta_n)$ . Denoting for such a  $\beta$  by  $\lambda_j(\beta)$  the  $j$ -th eigenvalue of  $H_{\beta,\Gamma}$ , again counted with its multiplicity, we have the asymptotic expansion

$$\lambda_j(\beta) = -\frac{4}{\beta^2} + \mu_j + \mathcal{O}(\beta \ln |\beta|), \quad j = 1, \dots, n,$$

valid as  $\beta \rightarrow 0-$ , where  $\mu_j$  is the  $j$ -th eigenvalue of the comparison operator  $S$  introduced above. Moreover, for the counting function  $\beta \mapsto \#\sigma_d(H_{\beta,\Gamma})$  we have

$$\#\sigma_{\text{disc}}(H_{\beta,\Gamma}) = \frac{2L}{\pi|\beta|} + \mathcal{O}(-\ln |\beta|) \quad \text{as } \beta \rightarrow 0-.$$

## Strong coupling behavior, continued



*Remark:* Compare the above with the asymptotics for  $\delta$  interaction,

$$\lambda_j(\beta) = -\frac{\alpha^2}{4} + \mu_j + \mathcal{O}(-\alpha^{-1} \ln |\alpha|), \quad j = 1, \dots, n,$$

as  $\alpha \rightarrow -\infty$ . The divergent term is different, but the second term in the asymptotics refers to *the same comparison operator  $S$* .

*Sketch of the proof:* Choose  $\Omega_a := \{x \in \mathbb{R}^2 : \text{dist}(x, \Gamma) < a\}$ ; for  $a$  small enough passing to curvilinear coordinates  $(s, u)$  is a diffeomorphism on  $\Omega_a$ .

We employ a bracketing argument: imposing Dirichlet and Neumann conditions at  $\partial\Omega_a$ , we get  $H_N(\beta) \leq H_\beta \leq H_D(\beta)$ . Furthermore, the ‘outer’ parts of the estimating operators are positive, hence for our purpose it is only the strip part which matters. The corresponding quadratic forms are

$$h_{N/D, \beta}[f] = \|\nabla f\|^2 + \beta^{-1} \int_{\Gamma} |f(s, 0_+) - f(s, 0_-)|^2 ds$$

defined on  $H^1(\Omega_a \setminus \Gamma)$  and  $H_0^1(\Omega_a \setminus \Gamma)$ , respectively.

# Proof sketch



Next we 'straighten'  $\Omega_a$  passing to the coordinates  $(s, u)$ ; in this way the estimating operators are equivalent to those associated with the forms

$$q_D[f] = \left\| \frac{1}{g} \partial_s f \right\|^2 + \|\partial_u f\|^2 + (f, Vf) + \beta^{-1} \int_0^L |f(s, 0_+) - f(s, 0_-)|^2 ds \\ + \frac{1}{2} \int_0^L \gamma(s) (|f(s, 0_+)|^2 - |f(s, 0_-)|^2) ds$$

$$q_N[g] = q_D[g] - \int_0^L \frac{\gamma(s)}{2(1 + a\gamma(s))} |f(s, a)|^2 ds + \int_0^L \frac{\gamma(s)}{2(1 - a\gamma(s))} |f(s, -a)|^2 ds$$

on  $H_0^1((0, L) \times ((-a, 0) \cup (0, a)))$  and  $H^1((0, L) \times ((-a, 0) \cup (0, a)))$ , respectively, with periodic boundary conditions in the variable  $s$ . The geometrically induced potential in these formulæ is given by

$$V = \frac{u\gamma''}{2g^3} - \frac{5(u\gamma')^2}{4g^4} - \frac{\gamma^2}{4g^2} \text{ with } g(s) := 1 + u\gamma(s).$$

This is still not easy to handle, therefore we pass to slightly cruder estimates by the operators  $Q_{a,\beta}^\pm = U_a^\pm \otimes I + \int_{[0,L]}^\oplus T_{a,\beta}^\pm(s) ds$ , where  $U_a^\pm$  refers to a  $u$ -independent estimate of the first and the third terms.



The transverse part of the upper bound corresponds to the quadratic form

$$t_{a,\beta}^+(s)[f] := \|f'\|^2 - \frac{1}{\beta} |f(0_+) - f(0_-)|^2 + \frac{1}{2}\gamma(s)(|f(s, 0_+)|^2 - |f(s, 0_-)|^2),$$

and has the following spectral properties:

## Lemma

*The operators  $T_{a,\beta}^+(s)$  has exactly one negative eigenvalue  $t_+ = -\kappa_+^2$  provided  $\frac{a}{\beta} > 2$  which is independent of  $s$  and such that*

$$\kappa_+ = \frac{2}{\beta} - \frac{4}{\beta} e^{-4a/\beta} + \mathcal{O}(\beta^{-1}e^{-8a/\beta}) \quad \text{holds as } \beta \rightarrow 0.$$

## Proof sketch, concluded



In a similar way we estimate  $T_{a,\beta}^+(s)$  finding that the influence of the boundary is again *exponentially small*.

As for the longitudinal part, we have

### Lemma

*There is a positive  $C$  independent of  $a$  and  $j$  such that*

$$|\mu_j^\pm(a) - \mu_j| \leq Caj^2$$

*holds for  $j \in \mathbb{N}$  and  $0 < a < \frac{1}{2\gamma_+}$ , where  $\mu_j^\pm(a)$  are the eigenvalues of  $U_a^\pm$ , respectively, with the multiplicity taken into account.*

Choosing finally  $a(\beta) = \frac{3}{4}\beta \ln |\beta|$  and putting the estimates together, we get the first claim; the second one is demonstrated in a similar way.  $\square$

# Geometrically induced bound states



Let us finally show that the  $\delta'$  *need not be strong* to produce spectral effects related to the geometry of its support.

Consider a singular Schrödinger operator  $H_{\beta,\Gamma}$  in  $L^2(\mathbb{R}^2)$  with an attractive  $\delta'$  interaction supported by an infinite curve  $\Gamma$ .

## Theorem (Behrndt-E-Lotoreichik'13)

*Suppose that  $\Gamma$  is piecewise  $C^1$  smooth and obtained by a *nontrivial* local deformation of a straight line, then  $\sigma_{\text{disc}}(H_{\beta,\Gamma}) \neq \emptyset$  for any  $\beta < 0$ .*

*Proof idea:* Choosing  $\alpha = \frac{4}{\beta}$  we find by a comparison of the corresponding quadratic form and minimax principle that  $\lambda_n(H_{\beta,\Gamma}^{\delta'}) \leq \lambda_n(H_{\alpha,\Gamma}^{\delta})$  holds for any  $n \in \mathbb{N}$ ; combining this inequality with the existence result obtained in [E-Ichinose'01] for the  $\delta$  interaction we get the sought claim.  $\square$

# The talk was based, in particular, on



[AEL94] J.E. Avron, P.E., Y. Last: Periodic Schrödinger operators with large gaps and Wannier–Stark ladders, *Phys. Rev. Lett.* **72** (1994), 896–899.

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It remains to say



Happy birthday, Yosi!