

# Periodic quantum graphs and their local perturbations

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# Talk overview

In this talk I am going to discuss several recent results on spectral properties of periodic quantum graphs:

- *Gap structure*, in particular, the high-energy behaviour of the spectrum



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- *Gap structure*, in particular, the high-energy behaviour of the spectrum
- *Dispersion functions*, in particular, location of the band edges in the Brillouin zone
- *Local perturbations*: eigenvalues in gaps and resonances they produce, in a simple model framework



# Introduction: the quantum graph concept

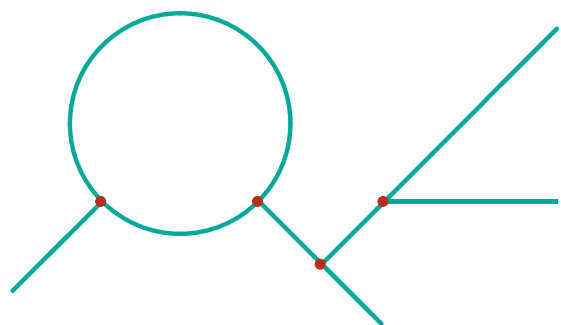
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The concept extends, however, to graphs of **arbitrary shape**



Hamiltonian:  $-\frac{\partial^2}{\partial x_j^2} + v(x_j)$   
on graph edges,  
boundary conditions at vertices

and what is important, it became **practically important** after experimentalists learned in the last two decades to fabricate tiny graph-like structure for which this is a good model



# Remarks

- There are many graph-like systems based on *semiconductor* or *metallic* materials, *carbon nanotubes*, etc. The dynamics can be also simulated by *microwave network* built of optical cables – see [Hul et al.'04]



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- Graphs can support also *Dirac operators*, see [Bulla-Trenckler'90], [Bolte-Harrison'03], and many recent applications to *graphene* and its derivatives

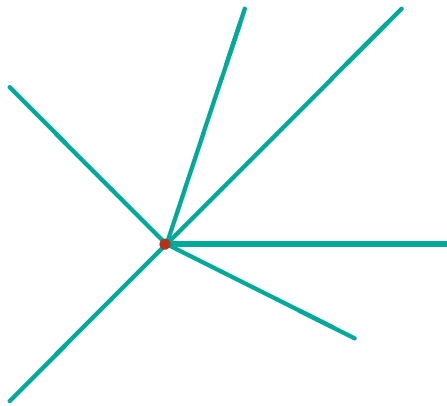


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- Graphs can support also *Dirac operators*, see [Bulla-Trenckler'90], [Bolte-Harrison'03], and many recent applications to *graphene* and its derivatives
- The graph literature is extensive; a good up-to-date reference are proceedings of the recent semester **AGA Programme** at INI Cambridge



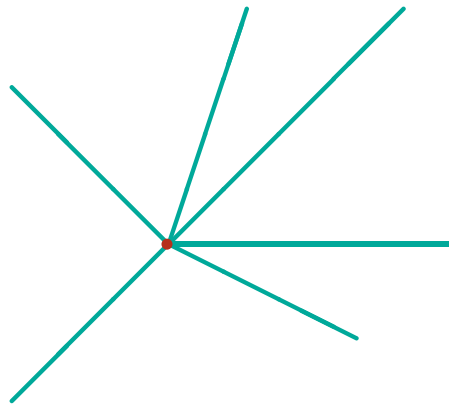
# Quantum graphs: vertex coupling



The most simple example is a *star graph* with the state Hilbert space  $\mathcal{H} = \bigoplus_{j=1}^n L^2(\mathbb{R}_+)$  and the particle Hamiltonian acting on  $\mathcal{H}$  as  $\psi_j \mapsto -\psi_j''$



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Since it is second-order, the boundary condition involve  $\Psi(0) := \{\psi_j(0)\}$  and  $\Psi'(0) := \{\psi_j'(0)\}$  being of the form

$$A\Psi(0) + B\Psi'(0) = 0;$$

by [Kostykin-Schrader'99] the  $n \times n$  matrices  $A, B$  give rise to a self-adjoint operator if they satisfy the conditions

- $\text{rank}(A, B) = n$
- $AB^*$  is self-adjoint



# Unique boundary conditions

The non-uniqueness of the above b.c. can be removed:

**Proposition** [Harmer'00, K-S'00]: Vertex couplings are uniquely characterized by unitary  $n \times n$  matrices  $U$  such that

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One can derive them modifying the argument used in [Fülöp-Tsutsui'00] for generalized point interactions,  $n = 2$ . Self-adjointness requires vanishing of the boundary form,

$$\sum_{j=1}^n (\bar{\psi}_j \psi'_j - \bar{\psi}'_j \psi_j)(0) = 0,$$

which occurs *iff* the norms  $\|\Psi(0) \pm i\ell\Psi'(0)\|_{\mathbb{C}^n}$  with a fixed  $\ell \neq 0$  coincide, so the vectors must be related by an  $n \times n$  unitary matrix; this gives  $(U - I)\Psi(0) + i\ell(U + I)\Psi'(0) = 0$



# Examples of vertex coupling

- Denote by  $\mathcal{J}$  the  $n \times n$  matrix whose all entries are equal to one; then  $U = \frac{2}{n+i\alpha} \mathcal{J} - I$  corresponds to the standard  $\delta$  coupling,

$$\psi_j(0) = \psi_k(0) =: \psi(0), \quad j, k = 1, \dots, n, \quad \sum_{j=1}^n \psi'_j(0) = \alpha \psi(0)$$

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- Similarly,  $U = I - \frac{2}{n-i\beta}\mathcal{J}$  describes the  $\delta'_s$  coupling

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with  $\beta \in \mathbb{R}$ ; for  $\beta = \infty$  we get *Neumann* decoupling, etc.



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# Periodic quantum graphs

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- the spectrum has in general a *gap structure*
- it *need not be absolutely continuous* since the unique continuation principle may not hold, in particular, if graph edge lengths are rationally related
- local perturbations can produce *eigenvalues*, in the gaps or embedded, and *resonances*



# Gap structure

The first question is about the *gap structure*:

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From lattice-graph models [E'96, E-Gawlista'96] we know

- A rectangular lattice with Kirchhoff coupling has *no gaps* above the spectral threshold
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Can a *more general vertex coupling* produce other types of gap behaviour at high energies?



# Square-lattice graphs

Consider example of a *square-lattice graph*, with vertices  $\{(ma, na) : m, n \in \mathbb{Z}\}$  and edges being segments of length  $a > 0$  connecting points differing by one in one index



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**Theorem [E-Turek'10]:** Let the coupling at each vertex be described by a fixed unitary matrix  $U$ . Then

(a) The spectrum of  $H_U$  consists of a.c. bands and infinitely degenerate ev's. There are at most four bands in  $\mathbb{R}$ .





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(b) The high-energy asymptotics of bands and gaps w.r.t. the band index  $n$  includes the following classes:

- *flat bands*, i.e. infinitely degenerate point spectrum,
- *bands* behaving as  $\mathcal{O}(n^j)$ ,  $j = 1, 0, -1, -2, -3$ ,  $n \rightarrow \infty$ ,
- *gaps* behaving as  $\mathcal{O}(n^j)$ ,  $j = 1, 0$ , as  $n \rightarrow \infty$ .

Depending on  $U$  the high-energy asymptotics of the spectrum may be a combination of the above listed types.



# An alternative coupling description

**Theorem [Cheon-E.-Turek'10]:** Consider a quantum graph vertex of degree  $n$ . If  $m \leq n$ ,  $S \in \mathbb{C}^{m,m}$  is a self-adjoint matrix and  $T \in \mathbb{C}^{m,n-m}$ , then the relation

$$\begin{pmatrix} I^{(m)} & T \\ 0 & 0 \end{pmatrix} \Psi' = \begin{pmatrix} S & 0 \\ -T^* & I^{(n-m)} \end{pmatrix} \Psi$$

expresses self-adjoint boundary conditions of the KS-type. Conversely, for any self-adjoint vertex coupling there is an  $m \leq n$  and a numbering of the edges such that the coupling is described by the KS boundary conditions with uniquely given matrices  $T \in \mathbb{C}^{m,n-m}$  and self-adjoint  $S \in \mathbb{C}^{m,m}$ .



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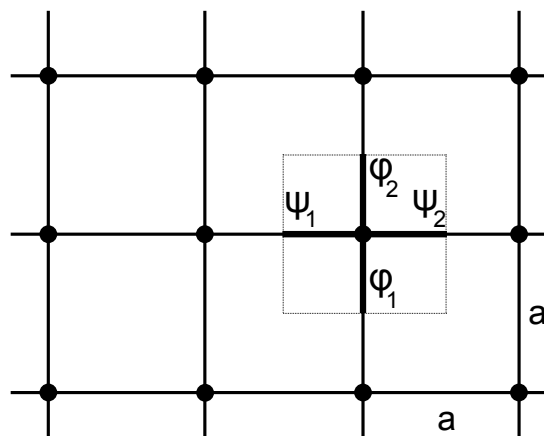
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**Remark:** [Kuchment'04] writes b.c. in terms of eigenspaces of  $U$ . Here we single out the one corresponding to  $\text{ev} -1$ ; there is also a symmetrical form referring to  $\text{ev}'s \pm 1$



# Proof outline

One has to perform Floquet analysis



for solutions with energy  $E = k^2$ ,  $k > 0$ ,

$$\psi_1(x) = C_1^+ e^{ikx} + C_1^- e^{-ikx}, \quad x \in [-a/2, 0]$$

$$\psi_2(x) = C_2^+ e^{ikx} + C_2^- e^{-ikx}, \quad x \in [0, a/2]$$

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# Proof outline, continued

We introduce the following matrices,

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then the spectral condition becomes  $\det(AM + ikBN) = 0$   
where the KS matrices are

$$-A = \begin{pmatrix} S & 0 \\ -T^* & I^{(4-m)} \end{pmatrix}, \quad B = \begin{pmatrix} I^{(m)} & T \\ 0 & 0 \end{pmatrix},$$



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The claim comes from a straightforward but rather tedious  
analysis of the particular cases  $m = 0, 1, 2, 3, 4$   $\square$



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- The lattice can separate into ‘one-dimensional’ subsets describing generalized Kronig-Penney models on lines or zigzag curves, or to ‘combs’
- From the spectral point of view the case  $m = 3$  is the richest, including situations with a power-like shrinking bands that occur for the graph decomposed into ‘combs’



# More remarks

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Recall the example of [E-Gawlista’96] concerning a *rectangular lattice* graph with basic cell sides  $a$  and  $a\theta^{-1}$  where  $\theta = \frac{1}{2}(1 + \sqrt{5})$  is the *golden mean*:

Let  $H_U$  have  *$\delta$ -coupling* with parameter  $\alpha$ , then

- $\sigma(H_U)$  has *no open gaps* (above threshold) if  $|\alpha| \leq \frac{\pi^2}{a\sqrt{5}}$
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**Question:** Are there ‘Bohr-Sommerfeld’ (periodic, connected) graphs with a *finite number of open gaps* above the spectral threshold?



# Spectral edges positions

Next we address another ‘dimension-related’ question. It is known that looking for band edges of one-dimensional periodic Schrödinger operator it is enough to check *endpoints of the Brillouin zone*

The same is often done in higher dimensions even if numerical counterexamples hint that caution is needed



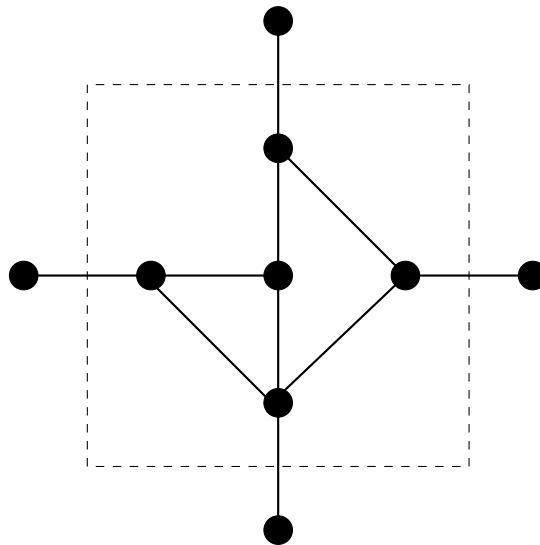


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[Harrison-Kuchment-Sobolev-Winn’07] provided example of a periodic graph with the following basic cell



# Spectral edges positions

They demonstrated that in this and some other examples spectral edges correspond to quasimomentum values *inside the Brillouin zone*

Graphs in those examples were  $\mathbb{Z}^2$ -periodic and some people kept believing that in case of  $\mathbb{Z}$ -periodicity it is sufficient to check periodic and antiperiodic solutions

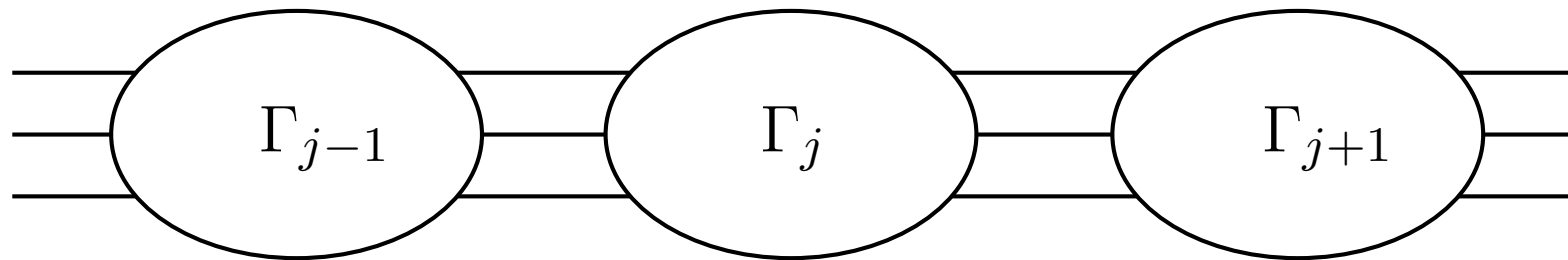


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In order to see for which periodic systems such a claim can be made, let us look at periodic *chain graphs*



# Formulation of the problem

Graph  $G$  consists of a chain of identical copies  $\Gamma_j$  of some graph  $\Gamma$ , consecutive copies being connected by  $m$  edges. The internal structure of  $\Gamma$  is not important

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The standard Floquet theory applies. For quasimomentum  $k \in [-\pi, \pi]$  we consider the fiber operator  $H(k)$  defined by means of the condition  $f(\tau_n x) = e^{ikn} f(x)$  for  $(n, x) \in \mathbb{Z} \times \Gamma$ . Note that values  $k = 0, \pm\pi$  refer to periodic and antiperiodic solutions, respectively

Spectrum of  $H(k)$  is discrete consisting of eigenvalues  $\lambda_j(k)$ ,  $j = 1, 2, \dots$ . We look for values of  $k$  where extrema of the *band functions*  $\lambda_j(\cdot)$  are attained



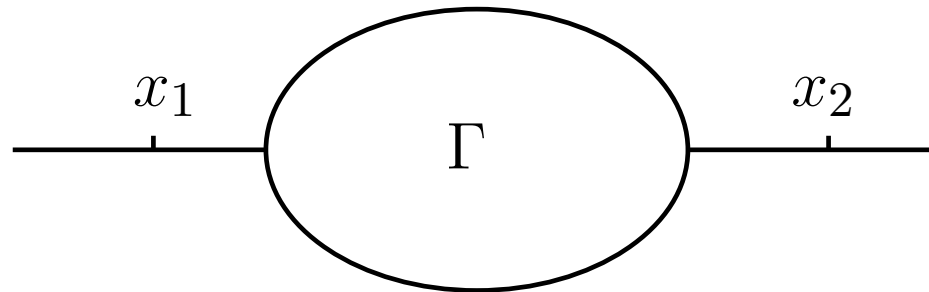
# The result

**Theorem [E-Kuchment-Winn'10]:** Let  $G$  be a  $\mathbb{Z}$ -periodic “chain” graph  $G$  with  $m$  connecting edges and  $H$  be the corresponding Hamiltonian operator acting on  $L^2(G)$ . Then

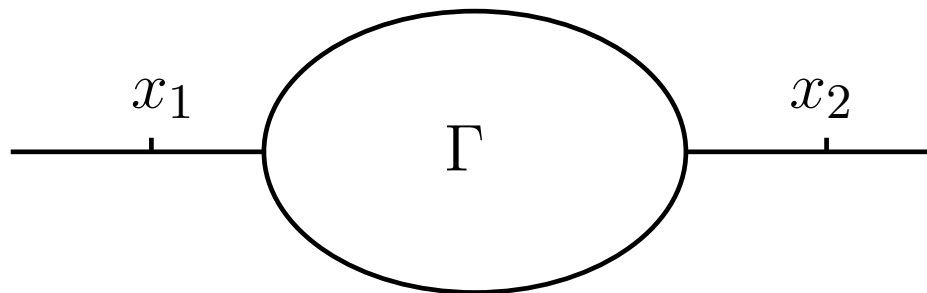
- (a) If  $m = 1$ , the endpoints of the bands  $I_j = \lambda_j([- \pi, \pi])$ , i.e. the extrema of the band functions, are *attained at the points*  $k = 0, k = \pm\pi$  (although, they might be attained at some other points as well). In other words, the spectra of the periodic and anti-periodic problems provide the ends of the bands of the spectrum
- (b) If  $m > 1$ , this is *not always true*



# The case $m = 1$



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**Lemma:** Assume that  $m = 1$ .

- (i) If some value  $\lambda$  is attained by the band functions  $\lambda_j(k)$  at more than two points  $k$  in the segment  $[-\pi, \pi]$ , then there is a constant branch  $\lambda(k) \equiv \lambda$  for all  $k$ , and thus this value is attained at all points of the segment
- (ii) The set  $D$  of all such values  $\lambda$  is discrete (possibly empty)
- (iii) If  $\lambda \notin D$ , then in a neighborhood of this value all band functions are strictly monotonous on  $[0, \pi]$





# The case $m = 1$ , continued

*Proof of the Lemma, outline:* Suppose that a value  $\lambda$  is taken by  $\lambda_j(k)$  at more than two points  $k \in [-\pi, \pi]$ . Then the solution space of  $(H - \lambda)u = 0$  on  $G$  is more than two-dimensional, so there is a non-trivial solution  $u$  vanishing with its first derivative at a point  $x_1$  on the connecting edge, and consequently,  $u$  vanishes on the whole edge containing  $x_1$ .

Using general results from Floquet theory we infer from here that  $\sigma(H)$  contains the flat branch  $\lambda_j(k) \equiv \lambda$  and that this can occur only at a discrete set  $D$  of values of  $\lambda$



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Using general results from Floquet theory we infer from here that  $\sigma(H)$  contains the flat branch  $\lambda_j(k) \equiv \lambda$  and that this can occur only at a discrete set  $D$  of values of  $\lambda$

Consider now  $\lambda \notin D$ . Due to the invariance of  $H$  w.r.t. complex conjugation, the band functions  $\lambda_j(\cdot)$  are even,  $\lambda_j(-k) = \lambda_j(k)$ . This, together with  $\lambda \notin D$  implies that all values near  $\lambda$  are attained by the (continuous) function  $\lambda_j(\cdot)$  only once on  $[0, \pi]$ , so the function is monotonous there



# Proof of the theorem

Suppose that  $\lambda$  is an extremum of a band function  $\lambda_j(\cdot)$ . If  $\lambda \in D$ , then the statement (i) of the Lemma claims that this value  $\lambda$  is attained at all values of  $k$ , in particular for  $k = \pi, 0$  that correspond to the (anti)periodic problems

Let next  $\lambda \notin D$ . Then the statement (iii) of the Lemma implies that the corresponding value of  $k$  cannot be in the interior  $(0, \pi)$  of the segment  $[0, \pi]$ . Thus, either  $k = 0$  and  $\lambda$  belongs to the spectrum of the periodic problem, or  $k = \pi$  and  $\lambda$  belongs to the spectrum of the anti-periodic problem



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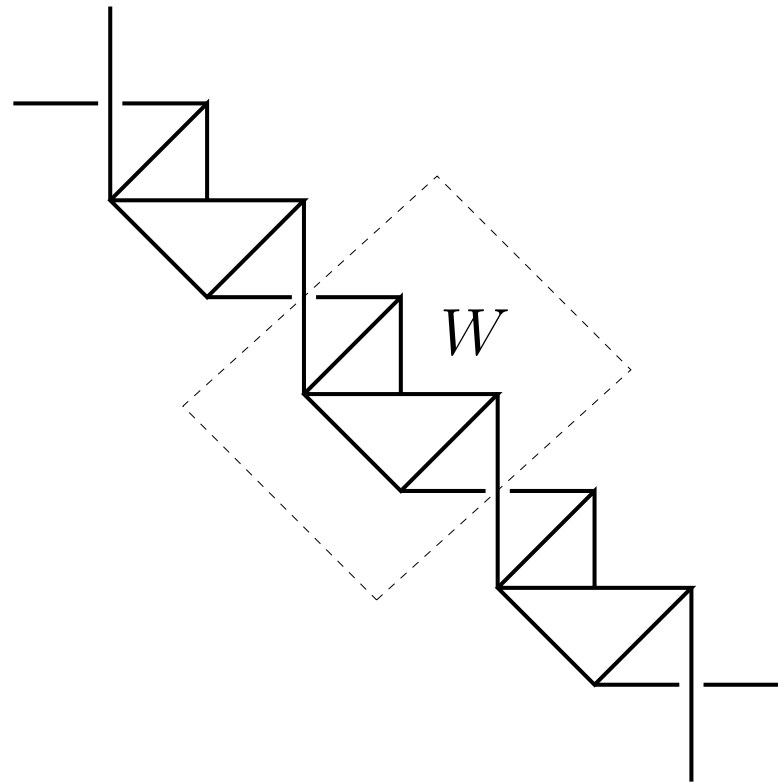
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To prove the other part we construct an *example* of a chain with  $m = 2$  by a suitable *folding of the  $\mathbb{Z}^2$  periodic graph* from the paper [Harrison-Kuchment-Sobolev-Winn'07] we have mentioned above



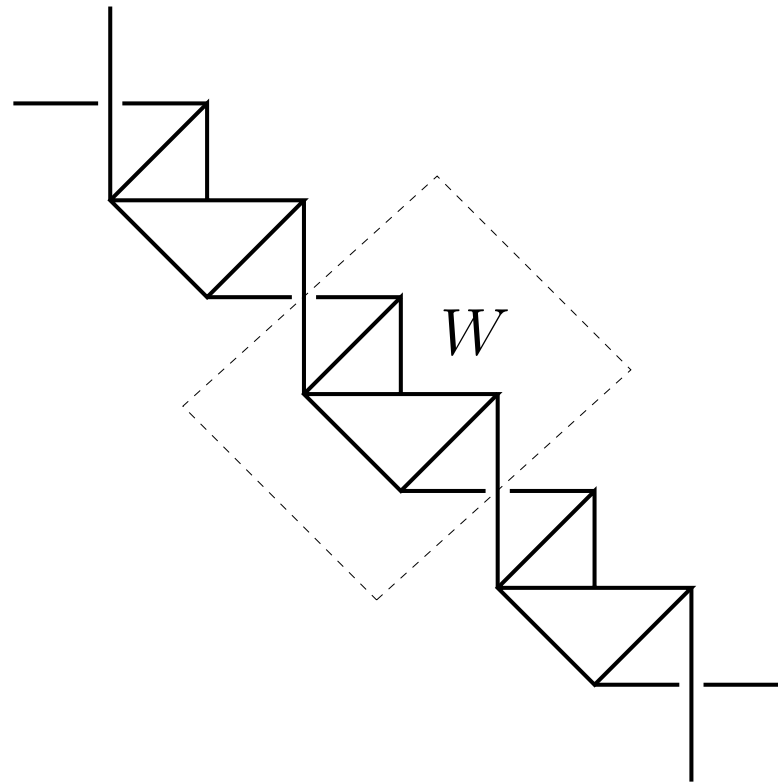
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By folding we get the following  $\mathbb{Z}$  periodic graph with  $m = 2$



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The second element of the proof is the *duality* between quantum (metric) graphs and combinatorial graphs [E'97]



# Proof of the theorem, continued

The easiest thing is to assume that the above graph is *equilateral* then folding its spectrum (by  $k \mapsto \cos k$ ) we get the spectrum of the discrete Laplace-Beltrami operator  $\Delta$  on functions defined on the vertices of  $G$ , given by

$$(\Delta f)(v) := \frac{1}{\sqrt{d_v}} \sum_{u \sim v} \frac{1}{\sqrt{d_u}} f(u),$$

where  $u$  and  $v$  are vertices,  $d_u$  is the degree of  $u$ , and the sum is taken over all vertices  $u$  adjacent to  $v$



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We arrive thus at the spectral problem for the matrix

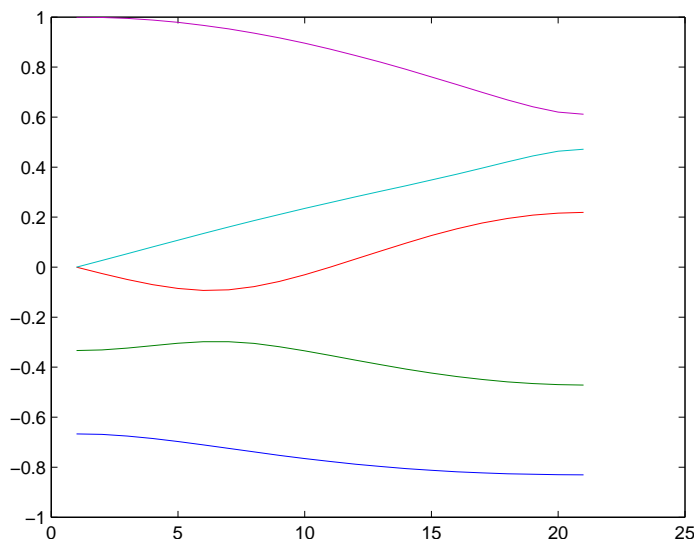
$$\Delta(k) = \frac{1}{\sqrt{3}} \begin{pmatrix} 0 & 3^{-1/2} & e^{ik}/2 & 0 & 3^{-1/2} \\ 3^{-1/2} & 0 & 1/2 & e^{ik}/3^{1/2} & 0 \\ e^{-ik}/2 & 1/2 & 0 & 1/2 & 1/2 \\ 0 & e^{-ik}/3^{1/2} & 1/2 & 0 & 3^{-1/2} \\ 3^{-1/2} & 0 & 1/2 & 3^{-1/2} & 0 \end{pmatrix}$$





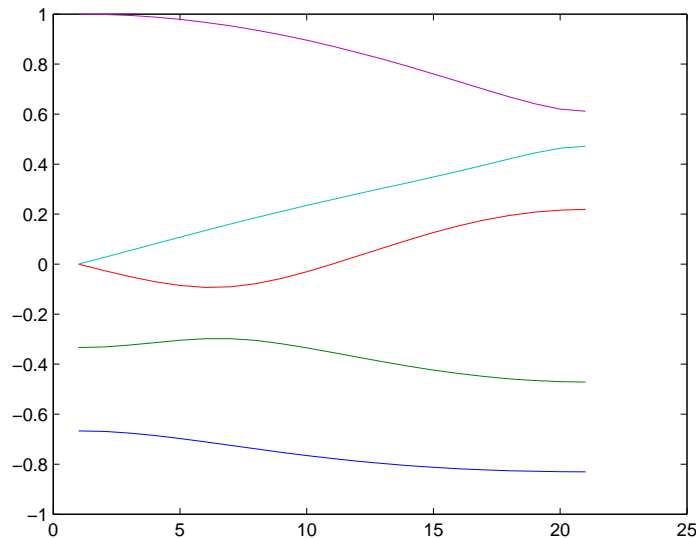
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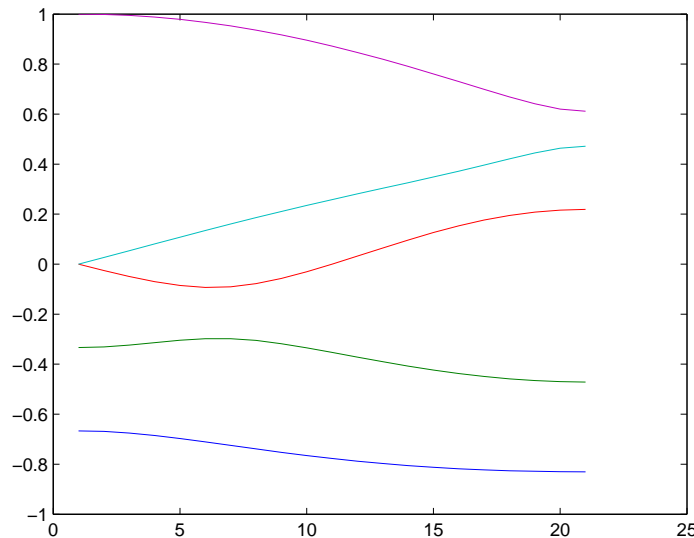


Examples of  $\mathbb{Z}$ -periodic graphs with  $m > 2$  and a similar spectral behaviour can be constructed easily  $\square$



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Examples of  $\mathbb{Z}$ -periodic graphs with  $m > 2$  and a similar spectral behaviour can be constructed easily  $\square$

*Remark:* Conclusions can be extended to more general Schrödinger operators on  $\mathbb{Z}$ -periodic graphs



# Local perturbations

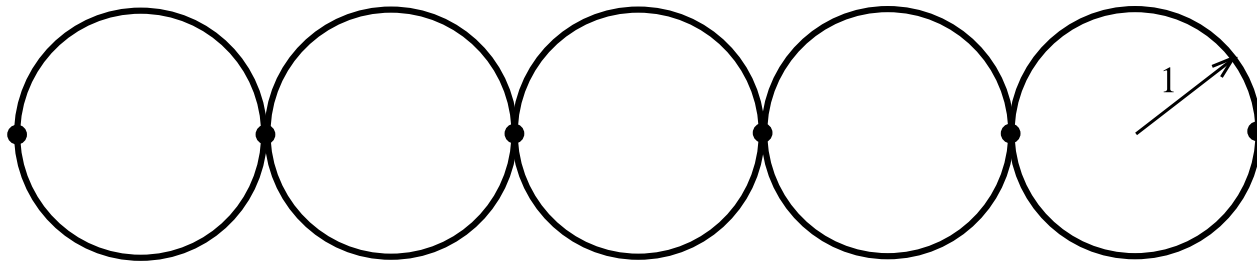
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# Local perturbations

Instead of attempting general claims we will try to analyze the effect in a simple *model setting* in which the effect mentioned in the introduction will be seen

The model: we will analyze the *influence of a “bending” deformation* on a “chain graph” which exhibits a one-dimensional periodicity

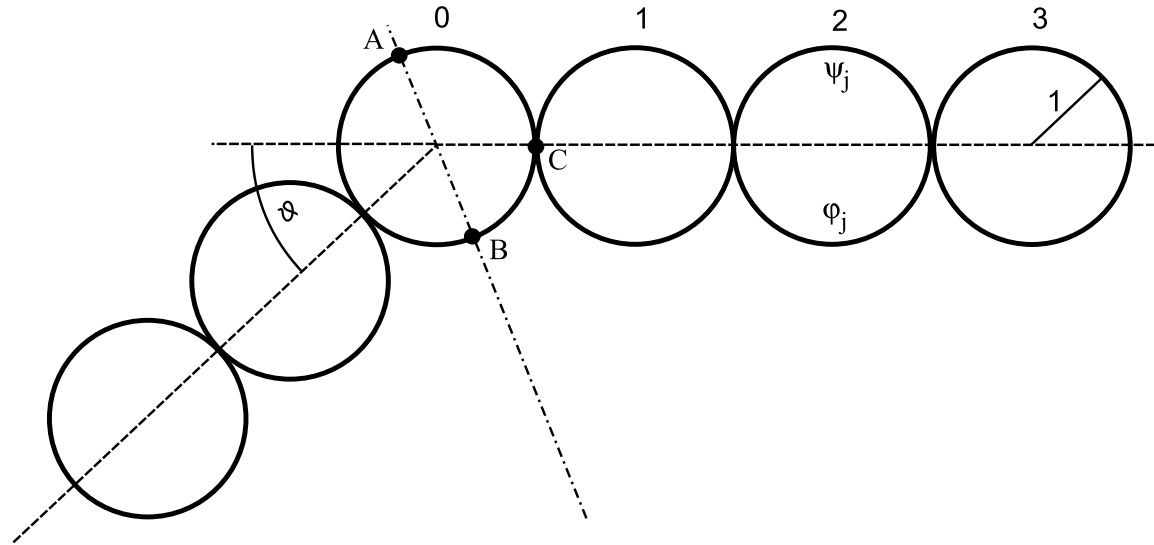


Without loss of generality we assume unit radii; the rings are connected by the  *$\delta$ -coupling* of a strength  $\alpha \neq 0$



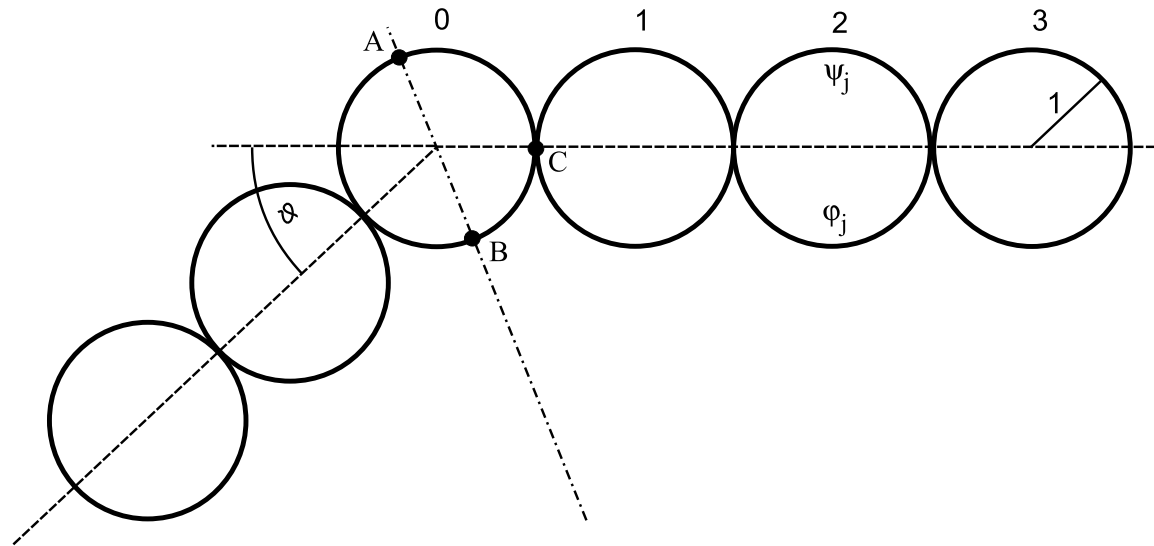
# Bending the chain

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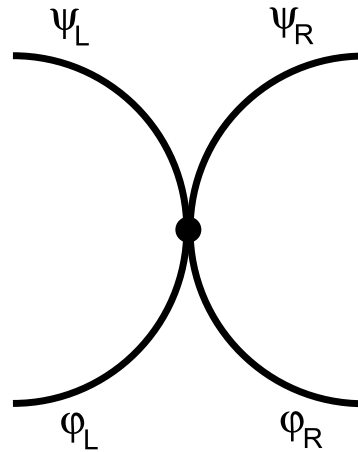
Our aim is to show that

- the band spectrum of the straight  $\Gamma$  is preserved
- there are *bend-induced eigenvalues*, we analyze their behavior with respect to model parameters
- the bent chain exhibits also *resonances*



# An infinite periodic chain

The “straight” chain  $\Gamma_0$  can be treated as a periodic system analyzing the spectrum of the elementary cell

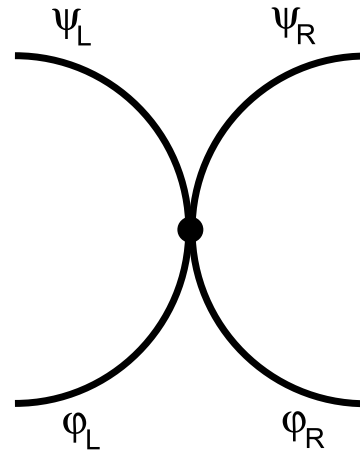


with Floquet-Bloch boundary conditions with the phase  $e^{2i\theta}$



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This yields the condition

$$e^{2i\theta} - e^{i\theta} \left( 2 \cos k\pi + \frac{\alpha}{2k} \sin k\pi \right) + 1 = 0$$

# Straight chain spectrum

A straightforward analysis leads to the following conclusion:

**Proposition:**  $\sigma(H_0)$  consists of *infinitely degenerate eigenvalues* equal to  $n^2$  with  $n \in \mathbb{N}$ , and *absolutely continuous spectral bands* such that

If  $\alpha > 0$ , then every spectral band is contained in  $(n^2, (n+1)^2]$  with  $n \in \mathbb{N}_0 := \mathbb{N} \cup \{0\}$ , and its upper edge coincides with the value  $(n+1)^2$ .



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If  $\alpha < 0$ , then in each interval  $[n^2, (n+1)^2)$  with  $n \in \mathbb{N}$  there is exactly one band with the lower edge  $n^2$ . In addition, there is a band with the lower edge (the overall threshold)  $-\kappa^2$ , where  $\kappa$  is the largest solution of

$$\left| \cosh \kappa\pi + \frac{\alpha}{4} \cdot \frac{\sinh \kappa\pi}{\kappa} \right| = 1$$



# Straight chain spectrum

**Proposition, cont'd:** The upper edge of this band depends on  $\alpha$ . If  $-8/\pi < \alpha < 0$ , it is  $k^2$  where  $k$  solves

$$\cos k\pi + \frac{\alpha}{4} \cdot \frac{\sin k\pi}{k} = -1$$

in  $(0, 1)$ . On the other hand, for  $\alpha < -8/\pi$  the upper edge is negative,  $-\kappa^2$  with  $\kappa$  being the smallest solution of the condition, and for  $\alpha = -8/\pi$  it equals zero.

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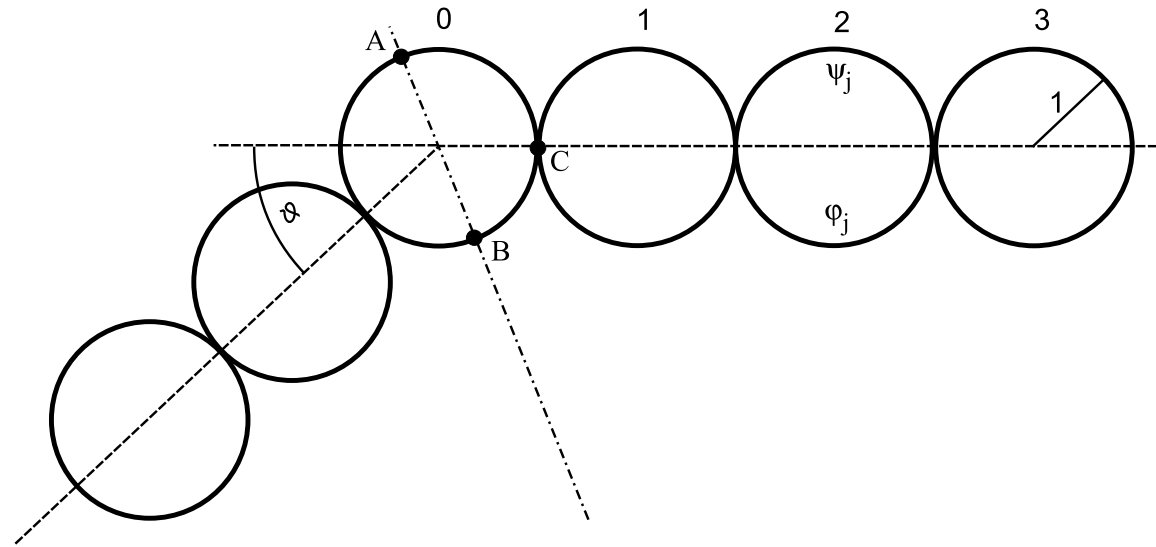
Let us add a couple of *remarks*:

- The bands correspond to *Kronig-Penney model* with the coupling  $\frac{1}{2}\alpha$  instead of  $\alpha$ , in addition one has here the *infinitely degenerate point spectrum*
- It is also an example of *gaps coming from decoration*



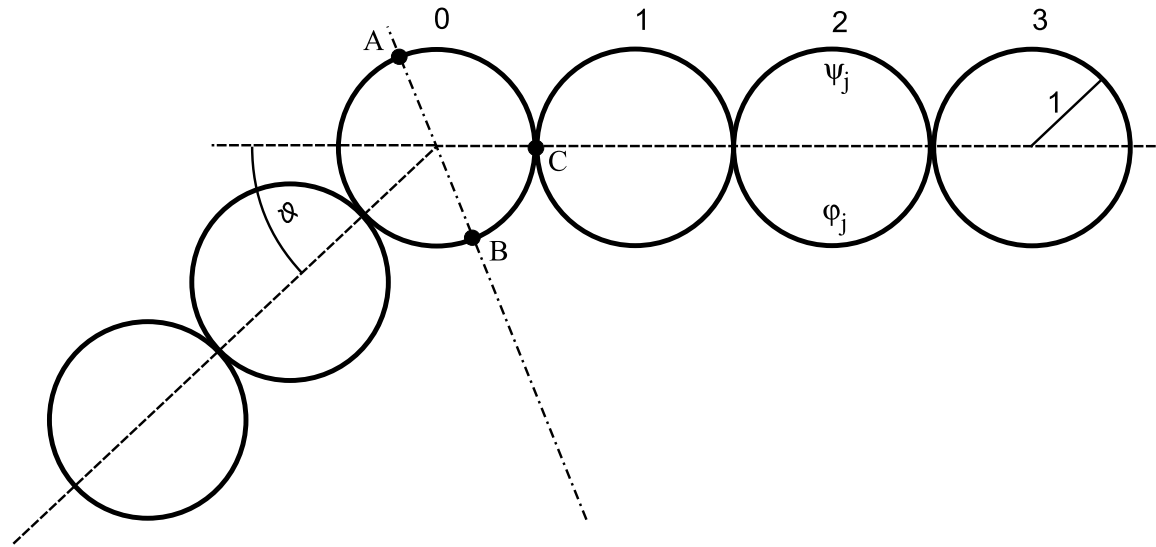
# The bent chain spectrum

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Since  $\Gamma_{\vartheta}$  has mirror symmetry, the operator  $H_{\vartheta}$  can be reduced by parity subspaces into a direct sum of an even part,  $H^{+}$ , and odd one,  $H^{-}$ ; we drop mostly the subscript  $\vartheta$

Equivalently, we analyze the half-chain with *Neumann* and *Dirichlet* conditions at the points  $A$ ,  $B$ , respectively



# Eigenfunction components

At the energy  $k^2$  they are are linear combinations of  $e^{\pm ikx}$ ,

$$\psi_j(x) = C_j^+ e^{ikx} + C_j^- e^{-ikx}, \quad x \in [0, \pi],$$

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There are  $\delta$ -couplings in the points of contact, i.e.

$$\psi_j(0) = \varphi_j(0), \quad \psi_j(\pi) = \varphi_j(\pi), \quad \text{and}$$

$$\psi_j(0) = \psi_{j-1}(\pi); \quad \psi_j'(0) + \varphi_j'(0) - \psi_{j-1}'(\pi) - \varphi_{j-1}'(\pi) = \alpha \cdot \psi_j(0)$$



# Transfer matrix

Using the above relations we get for all  $j \geq 2$

$$\begin{pmatrix} C_j^+ \\ C_j^- \end{pmatrix} = \underbrace{\begin{pmatrix} \left(1 + \frac{\alpha}{4ik}\right) e^{ik\pi} & \frac{\alpha}{4ik} e^{-ik\pi} \\ -\frac{\alpha}{4ik} e^{ik\pi} & \left(1 - \frac{\alpha}{4ik}\right) e^{-ik\pi} \end{pmatrix}}_M \cdot \begin{pmatrix} C_{j-1}^+ \\ C_{j-1}^- \end{pmatrix},$$



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To have eigenvalues, one eigenvalue of  $M$  has to be *less than one* (they satisfy  $\lambda_1 \lambda_2 = 1$ ); this happens *iff*

$$\left| \cos k\pi + \frac{\alpha}{4k} \sin k\pi \right| > 1;$$

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*Remark:* By general arguments,  $\sigma_{\text{ess}}$  is preserved, and there are at most two eigenvalues in each gap



# Spectrum of $H^+$

Combining the above with the Neumann condition at the mirror axis we get the spectral condition in this case,

$$\cos k\vartheta = -\cos k\pi + \frac{\sin^2 k\pi}{\frac{\alpha}{4k} \sin k\pi \pm \sqrt{(\cos k\pi + \frac{\alpha}{4k} \sin k\pi)^2 - 1}}$$

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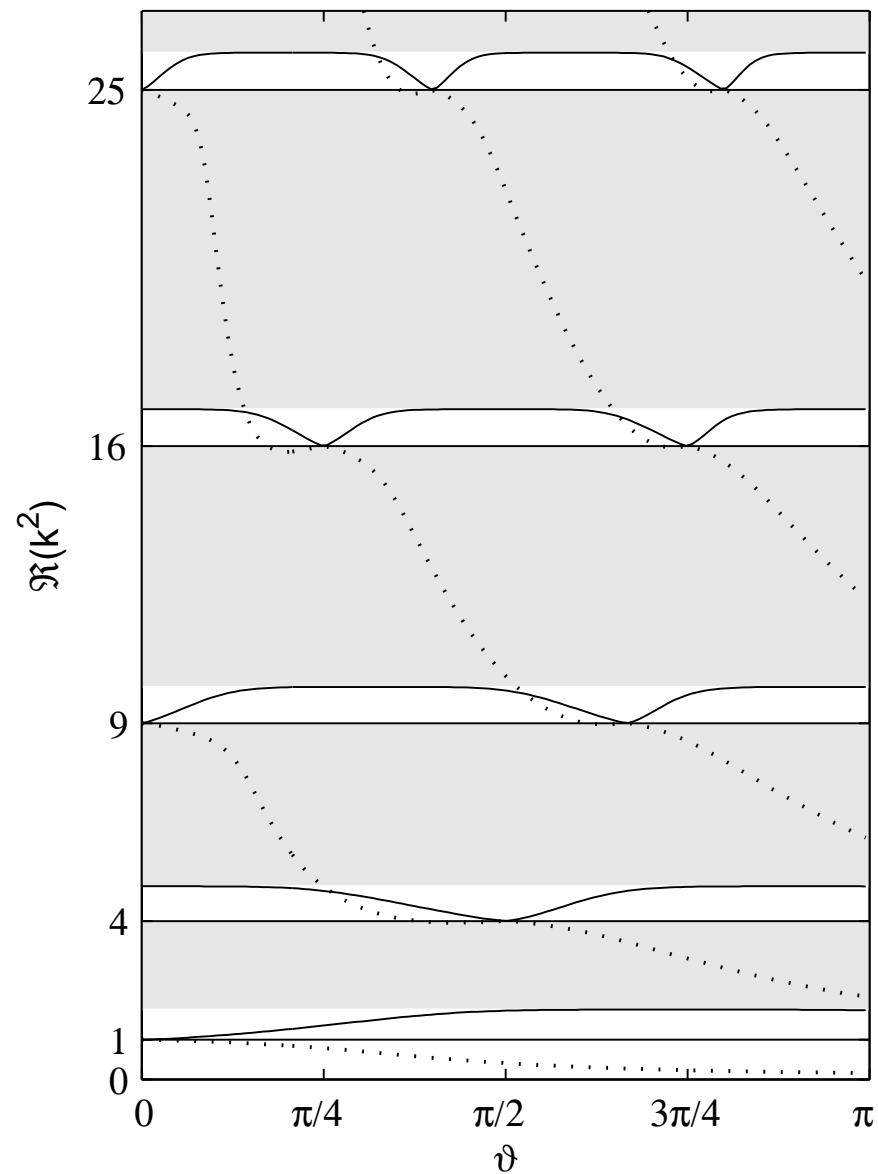
and an analogous expression for negative energies

After a tiresome but straightforward analysis one arrives then at the following conclusion:

**Proposition:** If  $\alpha \geq 0$ , then  $H^+$  has no negative eigenvalues. On the other hand, for  $\alpha < 0$  the operator  $H^+$  has at least one negative eigenvalue which lies under the lowest spectral band and above the number  $-\kappa_0^2$ , where  $\kappa_0$  is the (unique) solution of  $\kappa \cdot \tanh \kappa\pi = -\alpha/2$



# Spectrum of $H^+$ for $\alpha = 3$



# Spectrum of $H^-$

Replacing Neumann condition by Dirichlet at the mirror axis we get the spectral condition in this case,

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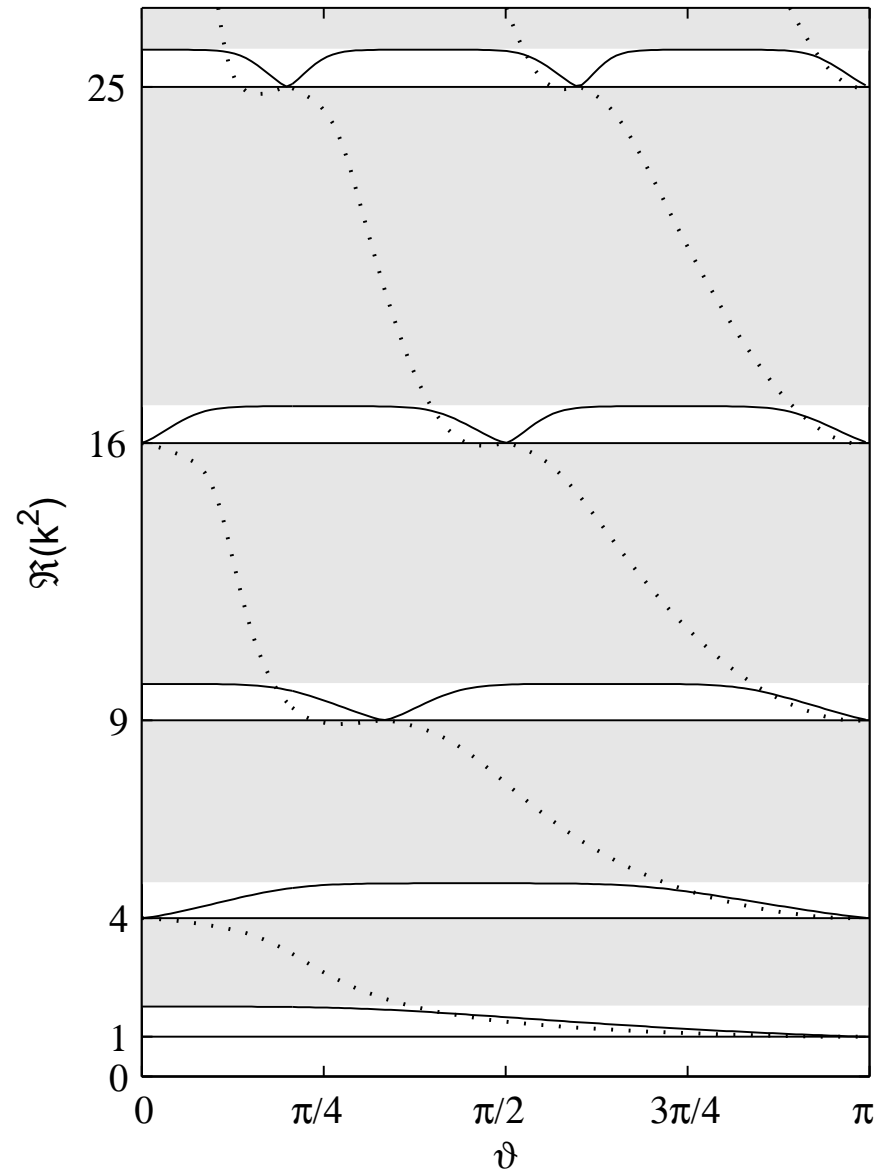
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Summarizing, for each of the operators  $H^\pm$  there is at least one eigenvalue in every spectral gap closure. It can lapse into a band edge  $n^2$ ,  $n \in \mathbb{N}$ , and thus be in fact absent. The ev's of  $H^+$  and  $H^-$  may coincide, becoming a single ev of multiplicity two; this happens only if

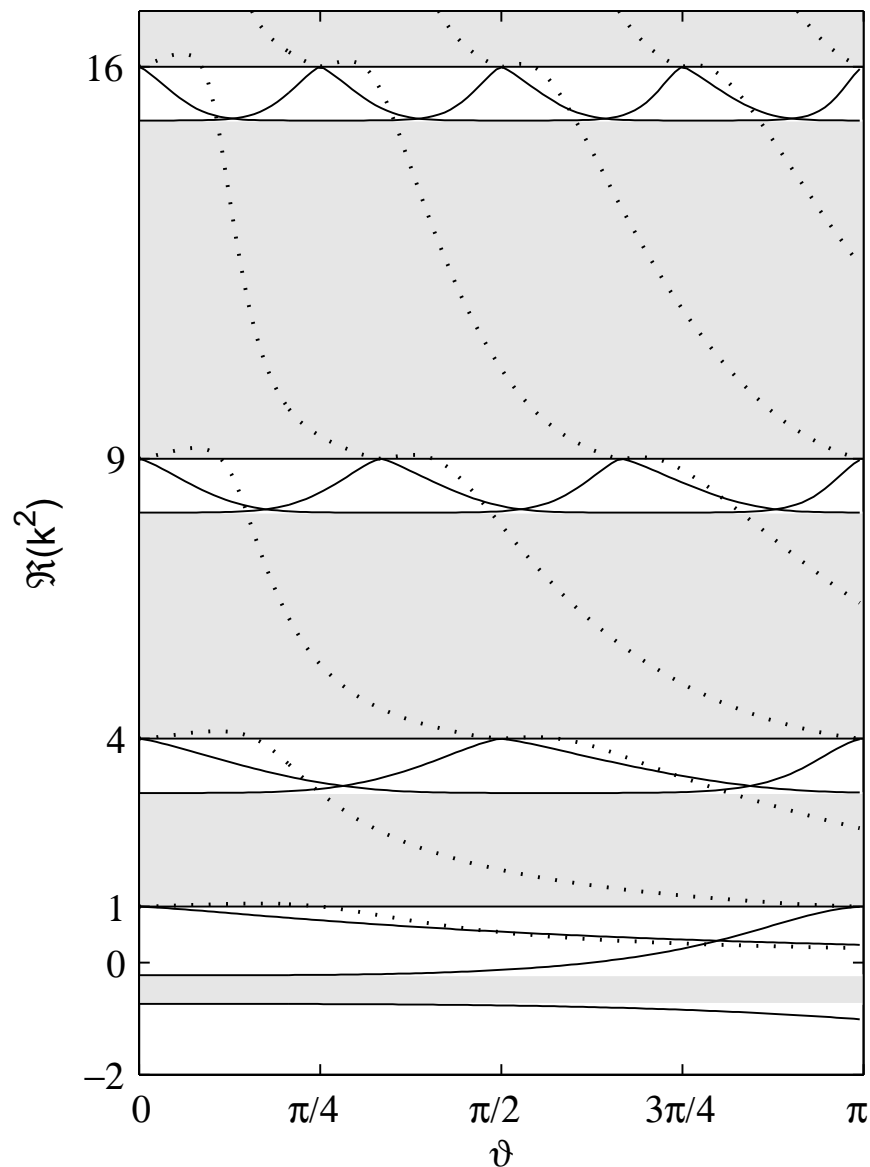
$$k \cdot \tan k\pi = \frac{\alpha}{2}$$



# Spectrum of $H^-$ for $\alpha = 3$



# $\sigma(H)$ for attractive coupling, $\alpha = -3$



# Resonances, analyticity

The above eigenvalue curves are not the only solutions of the spectral condition. There are also *complex solutions* representing *resonances* of the bent-chain system

In the above pictures their real parts are drawn as functions of  $\vartheta$  by dashed lines.



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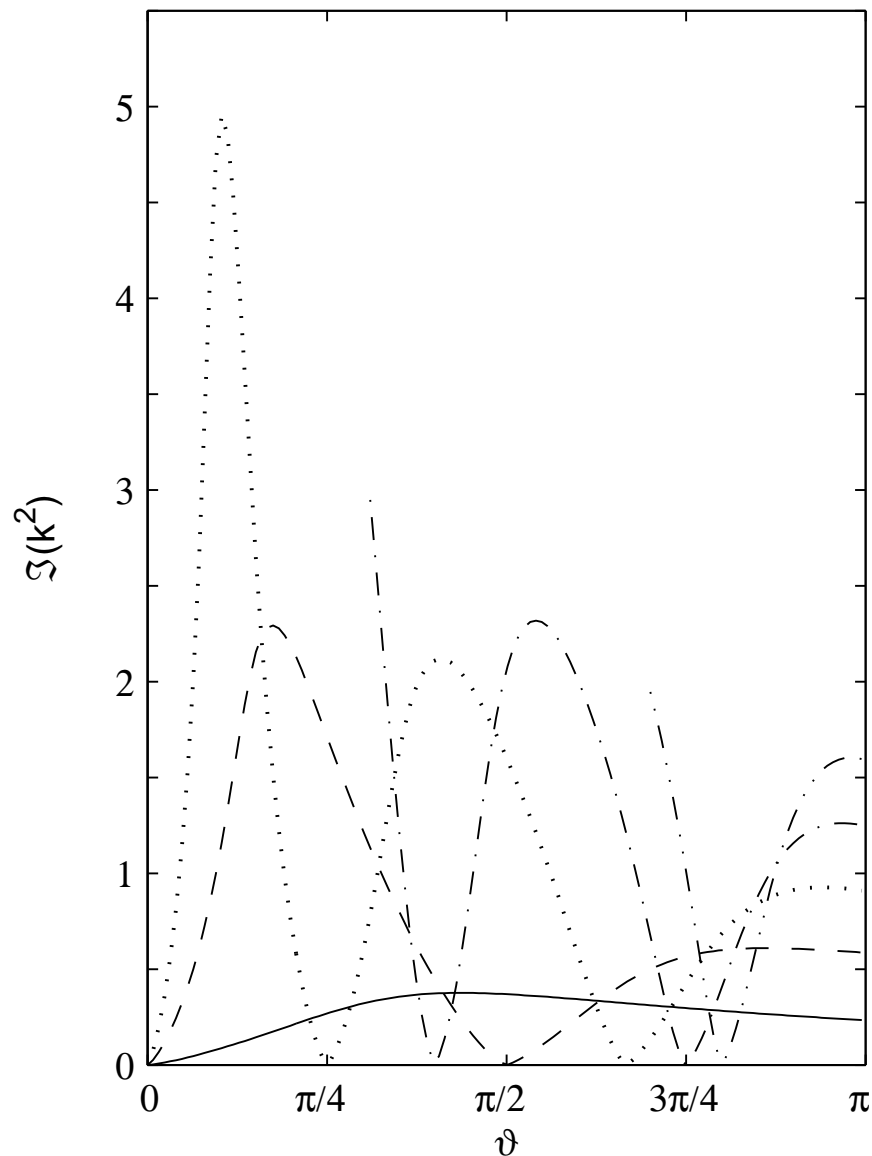
In the above pictures their real parts are drawn as functions of  $\vartheta$  by dashed lines.

A further analysis of the spectral condition gives

**Proposition:** The eigenvalue and resonance curves for  $H^+$  are *analytic* everywhere except at  $(\vartheta, k) = (\frac{n+1-2\ell}{n}\pi, n)$ , where  $n \in \mathbb{N}$ ,  $\ell \in \mathbb{N}_0$ ,  $\ell \leq \lfloor \frac{n+1}{2} \rfloor$ . Moreover, the real solution in the  $n$ -th spectral gap is given by a function  $\vartheta \mapsto k$  which is *real-analytic*, except at the points  $\frac{n+1-2\ell}{n}\pi$ . Similar claims can be made for the odd part for  $H^-$ .



# Imaginary parts of $H^+$ resonances, $\alpha = 3$



# More on the angle dependence

For simplicity we take  $H^+$  only, the results for  $H^-$  are analogous. Ask about the behavior of the curves at the points where they touch bands and where eigenvalues and resonances may cross

If  $\vartheta_0 := \frac{n+1-2\ell}{n}\pi > 0$  is such a point we find easily that in its vicinity we have

$$k \approx k_0 + \sqrt[3]{\frac{\alpha}{4} \frac{k_0}{\pi}} |\vartheta - \vartheta_0|^{4/3}$$

so the curve is indeed non-analytic there. The same is true for  $\vartheta_0 = 0$  provided the band-edge value  $k_0$  is odd



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However,  $H^+$  has an eigenvalue near  $\vartheta_0 = 0$  also in the gaps adjacent to even numbers, when the curve starts at  $(0, k_0)$  for  $k_0$  solving  $|\cos k\pi + \frac{\alpha}{4k} \sin k\pi| = 1$  in  $(n, n+1)$ ,  $n$





# Even threshold behavior

**Proposition:** Suppose that  $n \in \mathbb{N}$  is even and  $k_0$  is as described above, i.e.  $k_0^2$  is the right endpoint of the spectral gap adjacent to  $n^2$ . Then the behavior of the solution in the vicinity of  $(0, k_0)$  is given by

$$k = k_0 - C_{k_0, \alpha} \cdot \vartheta^4 + \mathcal{O}(\vartheta^5),$$

where  $C_{k_0, \alpha} := \frac{k_0^2}{8\pi} \cdot \left(\frac{\alpha}{4}\right)^3 (k_0\pi + \sin k_0\pi)^{-1}$



# Even threshold behavior

**Proposition:** Suppose that  $n \in \mathbb{N}$  is even and  $k_0$  is as described above, i.e.  $k_0^2$  is the right endpoint of the spectral gap adjacent to  $n^2$ . Then the behavior of the solution in the vicinity of  $(0, k_0)$  is given by

$$k = k_0 - C_{k_0, \alpha} \cdot \vartheta^4 + \mathcal{O}(\vartheta^5),$$

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*Remark:* Notice that the fourth-power is the same as for the ground state of a *slightly bent Dirichlet tube* despite the fact that the dynamics is completely different in the two cases



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*Remark:* Note that the analogous problem for *bent leaky wires* studied in [E-Ichinose'01] remains open.



# The results discussed here come from

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# It remains to say

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